

REPORTS ANALYSIS DIVISION REFERRAL
TO
ALTERNATIVE DISPUTE RESOLUTION OFFICE

DATE: July 27, 2023

ANALYST: Patrick Harkins

- I. CANDIDATE: Sheila Jackson Lee
CANDIDATE ID NUMBER: H4TX18054
STATE/DISTRICT: TX/18
- II. PRINCIPAL CAMPAIGN COMMITTEE: Sheila Jackson Lee for Congress
C00287904
Karen Y. Grays, Treasurer
4412 Almeda Road
Houston, TX 77004
- OTHER AUTHORIZED COMMITTEE(S): None
- III. REGISTRATION DATE: January 12, 1994
DATE OF CANDIDACY FOR THE AUDIT PERIOD: Est. April 2021¹
- IV. ELECTION RESULTS: Won the 2022 General Election by 44.5%
- IV. BACKGROUND:

The Committee was sent twelve (12) Requests for Additional Information (RFAs) for reporting errors, including excessive and prohibited contributions, mathematical and cash-on-hand discrepancies, failure to provide supporting schedules, failure to properly itemize contributions from individuals, and failure to properly itemize disbursements.

¹ The Candidate did not file a Statement of Candidacy (FEC Form 2) for the 2022 election cycle. [A Disavowal Notice](#) was sent to the Candidate on August 2, 2021, based on the activity disclosed in the Committee's 2021 July Quarterly Report (<https://docquery.fec.gov/pdf/473/202108020300121473/202108020300121473.pdf>).

DATE: July 24, 2023

ANALYST: Patrick Harkins

**REPORTS ANALYSIS DIVISION AUDIT REFERRAL
TO
ALTERNATIVE DISPUTE RESOLUTION OFFICE**

- I. CANDIDATE:** Sheila Jackson Lee
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STATE/DISTRICT: TX/18
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C00287904
Karen Y. Grays, Treasurer
4412 Almeda Road
Houston, TX 77004
- OTHER AUTHORIZED COMMITTEE(S):** None
- III. REGISTRATION DATE:** January 12, 1994
DATE OF CANDIDACY FOR THE AUDIT PERIOD: Est. April 2021²
- IV. ELECTION RESULTS:** Won the 2022 General Election with 70.7% of the vote³
- V. SUMMARY OF RFAI RESPONSES:** The Committee did not respond, responded inadequately, or responded late to twelve (12) of twelve (12) RFAs sent in the 2021-2022 election cycle.

VI. SUMMARY OF AUDIT POINTS BY STANDARD:

STANDARD	STANDARD DESCRIPTION	POINT(S) ASSIGNED	REPORT(S)	DESCRIPTION OF VIOLATION FOR WHICH AUDIT POINT(S) WAS ASSESSED	COMMITTEE RESPONSE TO RFAI
5	Excessive, Prohibited and Other Impermissible Contributions or Transfers	1	2021 Q3	Schedule A of the Committee's report disclosed the receipt of an excessive contribution of \$5,000.00, or 20.86% of the total contributions (Line 11(e), Column A of the Detailed Summary Page). This contribution was received from one (1) multicandidate committee.	LAR (4/28/22)

² The Candidate did not file a Statement of Candidacy (FEC Form 2) for the 2022 election cycle. A Disavowal Notice was sent to the Candidate on August 2, 2021, based on the activity disclosed in the Committee's 2021 July Quarterly Report (<https://docquery.fec.gov/pdf/473/202108020300121473/202108020300121473.pdf>).

³ See https://ballotpedia.org/Sheila_Jackson_Lee.

5	Excessive, Prohibited and Other Impermissible Contributions or Transfers	1	2021 YE	<p>Schedule A of the Committee's report disclosed the receipt of excessive and prohibited contributions totaling \$5,500.00, or 3.84% of the total contributions (Line 11(e), Column A of the Detailed Summary Page). These contributions were received from one (1) non-qualified committee, one (1) corporation, and one (1) unregistered political organization, as detailed below:</p> <p>Schedule A of the Committee's report disclosed the receipt of an excessive contribution from one (1) non-qualified committee totaling \$2,100.00.</p> <p>Schedule A of the Committee's report disclosed the receipt of prohibited contributions totaling \$3,400.00 from two (2) corporations.</p>	LAR (4/28/22)
5	Excessive, Prohibited and Other Impermissible Contributions or Transfers	3	Amended 2022 Q1, received 4/28/22	Schedule A of the Committee's report disclosed the receipt of excessive contributions totaling \$16,200.00, or 12.78% of total contributions (Line 11(e), Column A of the Detailed Summary Page). These contributions were received from five (5) individuals and one (1) federal candidate committee.	LIR (6/4/23)
5	Excessive, Prohibited and Other Impermissible Contributions or Transfers	1	2022 Q3	<p>Schedule A of the Committee's report disclosed the receipt of excessive contributions totaling \$6,800.00, or 2.97% of the total contributions (Line 11(e), Column A of the Detailed Summary Page). These contributions were received from four (4) individuals, as detailed below:</p> <p>Schedule A of the Committee's report disclosed the receipt of excessive contributions from three (3) individuals totaling \$6,700.00.</p> <p>Schedule A of the Committee's report disclosed the receipt of a contribution designated for the 2022 Primary Election, which was received after the date of the Primary Election. The Committee had insufficient net debts to support this contribution, which totaled \$100.00 from one (1) individual.</p>	LIR (1/9/23 and 6/4/23)

5	Excessive, Prohibited and Other Impermissible Contributions or Transfers	1	2022 12G	Schedule A of the Committee's report disclosed the receipt of an excessive contribution totaling \$2,900.00, or 3.77% of the total contributions (Line 11(e), Column A of the Detailed Summary Page). This contribution was received from one (1) individual.	LIR (6/4/23)
5	Excessive, Prohibited and Other Impermissible Contributions or Transfers	2	2022 30G	<p>Schedule A of the Committee's report disclosed the receipt of excessive contributions totaling \$10,474.84. Furthermore, Schedule B of the Committee's report disclosed an excessive contribution totaling \$500.00. The excessive contributions received/made aggregated \$10,974.84, or 8.96% of the Committee's total contributions (Line 11(e), Column A, of the Detailed Summary Page). These contributions were received from six (6) individuals and to one (1) federal candidate committee, as detailed below:</p> <p>Schedule A of the Committee's report disclosed the receipt of excessive contributions from six (6) individuals totaling \$10,474.84.</p> <p>Schedule B of the Committee's report disclosed an excessive contribution totaling \$500.00 made to one (1) federal candidate committee.</p>	IR (2/21/23 and 6/4/23)
6	Mathematical and Cash-on-Hand Discrepancies	1	Amended 2021 Q1, received 4/14/21	The Committee's report contained mathematical discrepancies totaling \$1,139,398.99.	NR
6	Mathematical and Cash-on-Hand Discrepancies	1	2021 Q2	<p>The Committee's report contained mathematical discrepancies totaling \$871,326.01, as detailed below:</p> <p>The beginning cash balance of the Committee's report, -\$39,125.04, was less than the ending cash balance of the Committee's previous report, \$145,226.86, a discrepancy of \$184,351.90.</p> <p>The Committee's report contained mathematical discrepancies totaling \$686,974.11.</p>	LIR (10/26/21 and 4/14/23)

6	Mathematical and Cash-on-Hand Discrepancies	1	Amended 2021 Q3, received 4/28/022	<p>The Committee's report contained mathematical discrepancies totaling \$52,006.38, as detailed below:</p> <p>The beginning cash balance of the Committee's report, \$282,402.04, was less than the ending cash balance of the Committee's previous report, \$302,905.23, a discrepancy of \$20,503.19.</p> <p>The Committee's report contained mathematical discrepancies totaling \$31,503.19.</p>	LAR (4/14/23)
6	Mathematical and Cash-on-Hand Discrepancies	1	2022 12P	<p>The Committee's report contained mathematical discrepancies totaling \$2,000.00, as detailed below:</p> <p>The beginning cash balance of the Committee's report, \$377,912.66, was greater than the ending cash balance of the Committee's previous report, \$376,912.66, a discrepancy of \$1,000.00.</p> <p>The Committee's report contained mathematical discrepancies totaling \$1,000.00.</p>	IR (4/26/22, 4/28/22, and 6/4/23)
6	Mathematical and Cash-on-Hand Discrepancies	1	2022 Q2	<p>The Committee's report contained mathematical discrepancies totaling \$50,308.30, as detailed below:</p> <p>The beginning cash balance of the Committee's report, \$532,051.40, was greater than the ending cash balance of the Committee's previous report, \$507,998.39, a discrepancy of \$24,053.01.</p> <p>The Committee's report contained mathematical discrepancies totaling \$26,255.29.</p>	LAR (6/4/23)

6	Mathematical and Cash-on-Hand Discrepancies	1	2022 Q3	<p>The Committee's report contained mathematical discrepancies totaling \$7,405.60, as detailed below:</p> <p>The beginning cash balance of the Committee's report, \$474,792.01, was greater than the ending cash balance of the Committee's previous report, \$473,792.01, a discrepancy of \$1,000.00.</p> <p>The Committee's report disclosed incorrect reporting of a refund to one (1) limited liability company totaling \$5,400.00.</p> <p>The Committee's report contained mathematical discrepancies totaling \$1,005.60.</p>	LIR (6/4/23)
6	Mathematical and Cash-on-Hand Discrepancies	1	2022 12G	<p>The Committee's report contained mathematical discrepancies totaling \$8,171.00, as detailed below:</p> <p>The beginning cash balance of the Committee's report, \$537,973.61, was greater than the ending cash balance of the Committee's previous report, \$537,223.61, a discrepancy of \$750.00.</p> <p>Schedule A supporting Line 11(a)(i) of the Committee's report disclosed incorrect reporting of refunds to three (3) individuals totaling \$6,671.00.</p> <p>The Committee's report contained mathematical discrepancies totaling \$750.00.</p>	LIR (6/4/23)

6	Mathematical and Cash-on-Hand Discrepancies	1	2022 30G	<p>The Committee's report contained mathematical discrepancies totaling \$314,899.21, as detailed below:</p> <p>The beginning cash balance of the Committee's report, \$600,456.00, was greater than the ending cash balance of the Committee's previous report, \$597,585.00, a discrepancy of \$2,871.00.</p> <p>Schedule A supporting Line 11(a)(i) of the Committee's report disclosed incorrect reporting of refunds to five (5) individuals totaling \$13,800.00.</p> <p>Schedule B supporting Line 17 of the Committee's report disclosed a cash-on-hand adjustment with an inadequate explanation totaling \$4,200.00.</p> <p>The Committee's report contained mathematical discrepancies totaling \$294,028.21.</p>	IR (2/21/23 and 6/4/23)
7	Failure to Provide Supporting Schedules	1	2021 Q2	Schedule A supporting Line 11(a)(i) of the Committee's report disclosed incorrect reporting of redesignations totaling \$14,800.00.	LIR (10/26/21)
7	Failure to Provide Supporting Schedules	1	Amended 2021 Q2, received 10/26/21	The Committee's report disclosed additional disbursements totaling \$15,347.89 for the reporting period.	LIR (4/14/23)
7	Failure to Provide Supporting Schedules	1	2021 Q3	<p>Schedule A supporting Line 11(a)(i) of the Committee's report disclosed incorrect reporting of a redesignation totaling \$2,900.00.</p> <p>Schedule B supporting Line 20(a) of the Committee's report disclosed \$1,500.00 in refunds made to an individual. However, the Committee did not disclose sufficient contributions received from this individual to support the refunds.</p>	LIR (4/28/22 and 4/14/23)
7	Failure to Provide Supporting Schedules	1	Amended 2021 Q3, received 10/28/22	The Committee's report disclosed additional receipts totaling \$10,907.81 for the reporting period.	LIR (4/14/23)
7	Failure to Provide Supporting Schedules	1	Amended 2022 Q1, received 4/28/22	The Committee failed to file the required 48-Hour Notices from 2/10/22 through 2/26/22 for contributions totaling \$16,000.00 from one (1) federal candidate committee, three (3) individuals, and three (3) multicandidate committees.	LIR (6/4/23)

7	Failure to Provide Supporting Schedules	1	2022 Q3	Schedule B supporting Line 17 and 20(a) of the Committee's report disclosed \$14,800.00 in refunds made to five (5) individuals and two (2) corporations. However, the Committee did not disclose contributions received from these individuals and corporations to support the refunds.	LIR (1/9/23 and 6/4/23)
7	Failure to Provide Supporting Schedules	2	2022 30G	The Committee filed a 48-Hour Notice during the 2022 General Election 48-Hour Notice period, 10/20/22 through 11/5/22, for a contribution totaling \$4,600.00 from one (1) individual that was not disclosed on Schedule A.	IR (2/21/23 and 6/4/23)
				The Committee failed to provide a Schedule B for in-kind contributions totaling \$1,749.68 from two (2) individuals which were itemized on Schedule A. This created a missing schedule of \$1,749.68.	IR (2/21/23 and 6/4/23)
8	Failure to Properly Itemize Contributions from Individuals	1	2021 Q2	The Committee failed to provide the adequate identification for 23 of 71, or 32.39%, of the contributions from individuals that required itemization (full name and occupation/employer).	LIR (10/26/21 and 4/14/23)
11	Failure to Properly Itemize Disbursements	1	Amended 2021 Q2, received 4/26/21	The Committee failed to provide an adequate purpose for nine (9) disbursement entries totaling \$28,248.58 that required itemization.	LIR (4/14/23)
11	Failure to Properly Itemize Disbursements	1	2022 Q3	The Committee failed to provide the address and/or an adequate purpose for 18 of 56, or 32.14%, of the disbursements that required itemization.	LAR (2/16/23 and 6/4/23)
11	Failure to Properly Itemize Disbursements	1	2022 30G	The Committee failed to provide the address, adequate purpose, and/or memo entries to support travel and non-travel staff reimbursements for 87 of 152, or 57.24%, of the disbursements that required itemization.	LAR (2/21/23 and 6/4/23)
TOTAL		29			

Sheila Jackson Lee for Congress (C00287904)
ADRO Referral Response Guide

Response Codes

IR – Inadequate Response

LAR – Late Adequate Response

LIR – Late Inadequate Response

NR – No Response

Excessive, Prohibited and Other Impermissible Contributions or Transfers

Report	RFAI Due Date	Response Date(s)	Response Code	Reason for Code
2021 Q3	1/18/22	4/28/22	LAR	<p>Schedule A of the Committee’s report disclosed the receipt of an excessive contribution totaling \$5,000.00, or 20.86% of the total contributions (Line 11(e), Column A of the Detailed Summary Page). This contribution was received from one (1) multicandidate committee.</p> <p>The Committee filed a late Amended 2021 Q3 report on 4/28/22 which adequately addressed this issue by correcting the election designation of the apparently excessive contribution.</p>
2021 YE	4/28/22	4/28/22	LAR	<p>Schedule A of the Committee’s report disclosed the receipt of excessive and prohibited contributions totaling \$5,500.00, or 3.84% of the total contributions (Line 11(e), Column A of the Detailed Summary Page). These contributions were received from one (1) non-qualified committee and two (2) corporations, as detailed below:</p> <p>Schedule A of the Committee’s report disclosed the receipt of an excessive contribution from one (1) non-qualified committee totaling \$2,100.00.</p> <p>Schedule A of the Committee’s report disclosed the receipt of prohibited contributions totaling \$3,400.00 from two (2) corporations.</p> <p>The Committee filed an FEC Form 99 on 4/28/22 which disclosed refunds of these excessive and prohibited contributions made outside the permissible timeframes. These refunds were later disclosed on the 2022 Q2 report.</p>
Amended 2022 Q1, received 4/28/22	6/23/22	6/4/23	LIR	<p>Schedule A of the Committee’s report disclosed the receipt of excessive contributions totaling \$16,200.00, or 12.78% of the Committee’s total contributions (Line 11(e), Column A of the Detailed Summary Page). These contributions were received from five (5) individuals and one (1) federal candidate committee.</p> <p>The Committee filed an Amended 2022 Q1 on 6/4/23 which disclosed corrections of excessive contributions from one (1) individual and one (1) federal candidate committee.</p>
2022 Q3	12/27/22	1/9/23 and 6/4/23	LIR	<p>Schedule A of the Committee’s report disclosed the receipt of excessive contributions totaling \$6,800.00, or 2.97% of the total contributions (Line 11(e), Column A of the Detailed Summary Page). These contributions were received from four (4) individuals, as detailed below:</p> <p>Schedule A of the Committee’s report disclosed the receipt of excessive contributions from three (3) individuals totaling \$6,700.00.</p> <p>Schedule A of the Committee’s report disclosed the receipt of a contribution designated for the 2022 Primary Election, which was received</p>

				<p>after the date of the Primary Election. The Committee had insufficient net debts to support this contribution, which totaled \$100.00 from one (1) individual.</p> <p>The Committee filed an FEC Form 99 on 1/9/23 that inadequately addressed the issues.</p> <p>The Committee filed an Amended 2022 Q3 on 6/4/23 which disclosed corrections of excessive contributions from two (2) individuals but did not adequately resolve all of the excessive and impermissible contributions.</p>
2022 12G	12/27/22	6/4/23	LIR	<p>Schedule A of the Committee's report disclosed the receipt of an excessive contribution totaling \$2,900.00 or 3.77% of the total contributions (Line 11(e), Column A of the Detailed Summary Page). This contribution was received from one (1) individual.</p> <p>The Committee filed an Amended 2022 12G on 6/4/23 that inadequately addressed the issue.</p>
2022 30G	2/23/23	2/21/23 and 6/4/23	IR	<p>Schedule A of the Committee's report disclosed the receipt of excessive contributions totaling \$10,474.84. Furthermore, Schedule B of the Committee's report disclosed an excessive contribution totaling \$500.00. The excessive contributions received/made aggregated \$10,974.84, or 8.96% of the total contributions (Line 11(e), Column A, of the Detailed Summary Page). These contributions were received from six (6) individuals and made to one (1) federal candidate committee, as detailed below:</p> <p>Schedule A of the Committee's report disclosed the receipt of excessive contributions from six (6) individuals totaling \$10,474.84.</p> <p>Schedule B of the Committee's report disclosed an excessive contribution totaling \$500.00 made to one (1) federal candidate committee.</p> <p>The Committee filed an FEC Form 99 on 2/21/23 that inadequately addressed the issues. Specifically, concerning the excessive contributions received from individuals, the Form 99 stated that they were refunded, redesignated, or reattributed, but no remedy date was provided, and the remedies were not disclosed in reports. Concerning the contribution made to another federal candidate, the Form 99 stated that the excessive amount was redesignated, but no redesignation date was provided, and the redesignation was not disclosed in reports.</p> <p>The Committee filed an Amended 2022 30G on 6/4/23 which disclosed corrections of excessive contributions from two (2) individuals and one (1) federal candidate committee but did not adequately resolve all of the excessive contributions.</p>

Mathematical and Cash-on-Hand Discrepancies

Report	RFAI Due Date	Response Date(s)	Response Code	Reason for Code
Amended 2021 Q1, received 4/14/21	10/4/21	N/A	NR	The Committee's report contained mathematical discrepancies totaling \$1,139,398.99.

				<p>Note: The Committee filed an Amended 2020 Year-End Report on 7/26/22, which created a cash-on-hand balance discrepancy of \$92,186.23. The beginning cash balance of the Amended 2021 Q1 report, \$167,819.79, is less than the ending cash balance of the Amended 2020 Year-End Report, \$260,006.02. This was not questioned in an RFAI due to the timing of the amendment.</p>
2021 Q2	10/4/21	10/26/21 and 4/14/23	LIR	<p>The Committee's report contained mathematical discrepancies totaling \$871,326.01, as detailed below:</p> <p>The beginning cash balance of the Committee's report, -\$39,125.04, was less than the ending cash balance of the Committee's previous report, \$145,226.86, a discrepancy of \$184,351.90.</p> <p>The Committee's report contained mathematical discrepancies totaling \$686,974.11.</p> <p>The Committee filed an Amended 2021 Q2 on 10/26/21 that inadequately addressed the issues. This report reduced the cash-on-hand discrepancy to \$91,575.60 and increased other mathematical discrepancies to \$792,275.61.</p> <p>The Committee filed a second Amended 2021 Q2 on 4/14/23 that inadequately addressed the issues. This report increased the cash-on-hand discrepancy to \$91,905.07 and decreased other mathematical discrepancies to \$691,580.46.</p>
Amended 2021 Q3, received 4/28/22	6/23/22	4/14/23	LAR	<p>The Committee's report contained mathematical discrepancies totaling \$52,006.38, as detailed below:</p> <p>The beginning cash balance of the Committee's report, \$282,402.04, was less than the ending cash balance of the Committee's previous report, \$302,905.23, a discrepancy of \$20,503.19.</p> <p>The Committee's report contained mathematical discrepancies totaling \$31,503.19.</p> <p>The Committee filed an Amended 2021 Q3 on 4/14/23 that adequately addressed the issues.</p>
2022 12P	4/26/22	4/26/22, 4/28/22, and 6/4/23	IR	<p>The Committee's report contained mathematical discrepancies totaling \$2,000.00, as detailed below:</p> <p>The beginning cash balance of the Committee's report, \$377,912.66, was greater than the ending cash balance of the Committee's previous report, \$376,912.66, a discrepancy of \$1,000.00.</p> <p>The Committee's report contained mathematical discrepancies totaling \$1,000.00.</p> <p>The Committee filed an FEC Form 99 on 4/26/22 stating, "This committee discovered that a glitch in the compliance software that we were using caused the error. This error has been addressed and amended reports shall be generated to correct the following errors."</p> <p>The Committee filed an Amended 12P on 4/28/22, after the RFAI response deadline. This amendment adequately addressed the cash-on-hand discrepancy and created a math discrepancy of \$14,304.18 between this report and the Amended 2021 YE, received 4/14/22.</p>

				The Committee filed an Amended 2022 12P on 6/4/23 that adequately addressed the cash-on-hand discrepancy but resulted in mathematical discrepancies totaling \$6,734.03, making the response inadequate.
2022 Q2	9/28/22	6/4/23	LAR	<p>The Committee's report contained mathematical discrepancies totaling \$50,308.30, as detailed below:</p> <p>The beginning cash balance of the Committee's report, \$532,051.40, was greater than the ending cash balance of the Committee's previous report, \$507,998.39, a discrepancy of \$24,053.01.</p> <p>The Committee's report contained mathematical discrepancies totaling \$26,255.29.</p> <p>The Committee filed an Amended 2022 Q2 on 6/4/23 that adequately addressed the issues.</p>
2022 Q3	12/27/22	6/4/23	LIR	<p>The Committee's report contained mathematical discrepancies totaling \$7,405.60, as detailed below:</p> <p>The beginning cash balance of the Committee's report, \$474,792.01, was greater than the ending cash balance of the Committee's previous report, \$473,792.01, a discrepancy of \$1,000.00.</p> <p>The Committee's report disclosed incorrect reporting of a refund to one (1) limited liability company totaling \$5,400.00.</p> <p>The Committee's report contained mathematical discrepancies totaling \$1,005.60.</p> <p>The Committee filed an Amended 2022 Q3 on 6/4/23 that adequately addressed the cash-on-hand discrepancy. The amendment did not correct all mathematical discrepancies or the incorrectly reported refunds. The amendment resulted in mathematical discrepancies totaling \$5,405.60.</p>
2022 12G	12/27/22	6/4/23	LIR	<p>The Committee's report contained mathematical discrepancies totaling \$8,171.00, as detailed below:</p> <p>The beginning cash balance of the Committee's report, \$537,973.61, was greater than the ending cash balance of the Committee's previous report, \$537,223.61, a discrepancy of \$750.00.</p> <p>The Committee's report disclosed incorrect reporting of refunds to three (3) individuals totaling \$6,671.00.</p> <p>The Committee's report contained mathematical discrepancies totaling \$750.00.</p> <p>The Committee filed an Amended 2022 12G on 6/4/23 that adequately addressed the cash-on-hand discrepancy. The amendment did not correct all mathematical discrepancies and only resolved one (1) incorrectly reported refund. The amendment resulted in mathematical discrepancies totaling \$6,174.20.</p>
2022 30G	2/23/23	2/21/23 and 6/4/23	IR	The Committee's report contained mathematical discrepancies totaling \$314,899.21, as detailed below:

				<p>The beginning cash balance of the Committee's report, \$600,456.00, was greater than the ending cash balance of the Committee's previous report, \$597,585.00, a discrepancy of \$2,871.00.</p> <p>The Committee's report disclosed incorrect reporting of refunds to five (5) individuals totaling \$13,800.00.</p> <p>The Committee's report disclosed a cash-on-hand adjustment with an inadequate explanation totaling \$4,200.00.</p> <p>The Committee's report contained mathematical discrepancies totaling \$294,028.21.</p> <p>The Committee filed an FEC Form 99 on 2/21/23 that inadequately addressed the issues. In regard to cash-on-hand and mathematical discrepancies, the Form 99 stated, "While working on this report, edits were being made to prior reporting periods in efforts to make amendments to the 2022 reports all of which were affected by the FECs audit of the 2020 cycle. Unbeknownst to us, the edited numbers were rolled up into this report which led to the errors cited in this RFAI. This report will be amended in Q1 2023 to correct this error." In regard to the incorrect reporting of refunds, the Form 99 stated, "These will be properly entered according to the directions provided by the Commission and wil [sic] be reflected in the amended report which will be completed in Q1 2023." In regard to the cash-on-hand adjustment, the Form 99 stated, "This entry will be removed in the amended report which will be completed in Q1 2023."</p> <p>The Committee filed an Amended 2022 30G on 6/4/23 that adequately addressed the cash-on-hand discrepancy, mathematical discrepancies, and the cash-on-hand adjustment. The amendment only corrected two (2) incorrectly reported refunds. The amendment resulted in remaining mathematical discrepancies totaling \$12,100.00.</p>
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Failure to Provide Supporting Schedules

Report	RFAI Due Date	Response Date(s)	Response Code	Reason for Code
2021 Q2	10/4/21	10/26/21	LIR	<p>Schedule A of the Committee's report disclosed incorrect reporting of redesignations totaling \$14,800.00.</p> <p>The Committee filed an Amended 2021 Q2 on 10/26/21 that inadequately addressed this issue. The amendment lowered the violation amount from \$14,800.00 to \$14,700.00.</p>
Amended 2021 Q2, received 10/26/21	1/18/22	4/14/23	LIR	<p>The Committee's report disclosed additional disbursements totaling \$15,347.89 for the reporting period.</p> <p>The Committee filed an Amended 2021 Q2 on 4/14/23 that lowered the amount of the increase in disbursements to \$12,072.89 compared to the original report.</p>
2021 Q3	1/18/22	4/28/22 and 4/14/23	LIR	<p>Schedule A supporting Line 11(a)(i) of the Committee's report disclosed incorrect reporting of a redesignation totaling \$2,900.00.</p> <p>The Committee filed Amended 2021 Q2 reports on 4/28/22 and 4/14/23 that inadequately addressed this issue.</p>

				<p>Schedule B supporting Line 20(a) of the Committee's report disclosed \$1,500.00 in refunds made to an individual. However, the Committee did not disclose contributions from this individual to support the refunds.</p> <p>The Committee filed Amended 2021 Q2 reports on 4/28/22 and 4/14/23 that inadequately addressed this issue.</p>
Amended 2021 Q3, received 10/28/22	6/23/22	4/14/23	LIR	<p>The Committee's report disclosed additional receipts totaling \$10,907.81 for the reporting period.</p> <p>The Committee filed an Amended 2021 Q3 on 4/14/23 that raised the amount of the increase in receipts to \$11,941.51 compared to the original report.</p>
Amended 2022 Q1, received 4/28/22	6/23/22	6/4/23	LIR	<p>The Committee failed to file the required 48-Hour Notices from 2/10/22 through 2/26/22 for contributions totaling \$16,000.00 from one (1) federal candidate committee, three (3) individuals, and three (3) multicandidate committees.</p> <p>The Committee filed an Amended 2022 Q1 on 6/4/23 that failed to adequately address the issue.</p>
2022 Q3	12/27/22	1/9/23 and 6/4/23	LIR	<p>Schedule B supporting Lines 17 and 20(a) of the Committee's report disclosed \$14,800.00 in refunds made to five (5) individuals and two (2) corporations. However, the Committee did not disclose contributions from these individuals and corporations to support the refunds.</p> <p>The Committee filed an FEC Form 99 on 1/9/23 that inadequately addressed the issue. The Form 99 stated, "The committee is researching this missing information and will provide it in the forthcoming amendment."</p> <p>The Committee filed an Amended 2022 Q3 on 6/4/23 that corrected a refund disclosed to one (1) individual. The amendment lowered the violation amount for Schedule A from \$14,800.00 to \$12,000.00.</p>
2022 30G	2/23/23	2/21/23 and 6/4/23	IR	<p>The Committee filed a 48-Hour Notice during the 2022 General Election 48-Hour Notice period, 10/20/22 through 11/5/22, for a contribution totaling \$4,600.00 from one (1) individual that was not disclosed on Schedule A.</p> <p>The Committee filed an FEC Form 99 on 2/21/23 that inadequately addressed the issue. The Form 99 referenced a contribution from an individual with the same last name, but different first name from the cited contributor, in a different amount from the cited contribution. Because of these discrepancies, the Form 99 did not adequately clarify the public record. The Form 99 stated, "This contribution of \$2,600 was received on 10/14/2022 and was duly reported in the Pre-General Report. It was errantly included in the 10/24/22 48-Hour Report."</p> <p>The Committee failed to provide a Schedule B for in-kind contributions totaling \$1,749.68 from two (2) individuals which were itemized on Schedule A. This created a missing schedule of \$1,749.68.</p> <p>The Committee filed an FEC Form 99 on 2/21/23 that inadequately addressed the issues. The Form 99 stated, "This will be properly entered according to the directions provided by the Commission and will be reflected in the amended report which will be completed in Q1 2023."</p> <p>The Committee filed an Amended 2022 30G on 6/4/23 that removed an incorrectly reported in-kind contribution from one (1) individual totaling</p>

				\$874.84. The amendment lowered the violation amount for Schedule B from \$1,749.68 to \$874.84.
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Failure to Properly Itemize Contributions from Individuals

Report	RFAI Due Date	Response Date(s)	Code	Reason for Code
2021 Q2	10/4/21	10/26/21 and 4/14/23	LIR	<p>The Committee failed to provide the adequate identification for 23 of 71, or 32.39%, of the contributions from individuals that require itemization (full name and occupation/employer).</p> <p>The Committee filed an Amended 2021 Q2 on 10/26/21, after the RFAI response deadline, that inadequately addressed the issue. The Committee filed a second Amended 2021 Q2 on 4/14/23 that also inadequately addressed the issue.</p>

Failure to Properly Itemize Disbursements

Report	RFAI Due Date	Response Date(s)	Response Code	Reason for Code
2021 Amended Q2, received 4/26/2021	1/18/22	4/14/23	LIR	<p>The Committee failed to provide an adequate purpose for nine (9) disbursement entries totaling \$28,248.58 that required itemization.</p> <p>The Committee filed a late Amended 2021 Q2 on 4/14/23 disclosing adequate purposes and other corrections for three (3) of the entries. This Amendment did not adequately resolve all of the issues.</p>
2022 Q3	12/27/22	2/16/23 and 6/4/23	LAR	<p>The Committee failed to provide the address and/or an adequate purpose for 18 of 56, or 32.14%, of the disbursements that required itemization.</p> <p>The Committee filed an FEC Form 99 on 2/16/23 that adequately addressed the issues.</p> <p>The Committee filed an Amended 2022 Q3 on 6/4/23 disclosing corrections that were previously addressed in the FEC Form 99 filed on 2/16/23.</p>
2022 30G	2/23/23	2/21/23 and 6/4/23	LAR	<p>The Committee failed to provide the address, adequate purpose, and/or memo entries to support travel and non-travel staff reimbursements for 87 of 152, or 57.24%, of the disbursements that required itemization.</p> <p>The Committee filed an FEC Form 99 on 2/21/23 that inadequately addressed the issues. Specifically, the FEC Form 99 stated that the Committee would amend the 2022 30G in the first quarter of 2023 to disclose missing addresses for disbursements.</p> <p>The Committee filed an Amended 2022 30G on 6/4/23 that adequately addressed the issues.</p>

O-Index (2023-2024)															
Cmte. ID: C00287904 Cmte. Name: SHEILA JACKSON LEE FOR CONGRESS															
Treasurer Name: GRAYS, KAREN Y. Address: 4412 ALMEDA ROAD, HOUSTON, TX 77004															
Cmte. Type: H (HOUSE) Cmte. Designation: P (PRINCIPAL CAMPAIGN COMMITTEE OF A CANDIDATE) Filing Frequency: QUARTERLY FILER															
Form Tp	Rpt Tp	A/I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans	Debts & Loans
MS-T			1/9/2023	2	202301099574633811				-	-	-	-	-	-	-
MS-T			2/16/2023	2	202302169578643930				-	-	-	-	-	-	-
MS-T			2/16/2023	1	202302169578647376				-	-	-	-	-	-	-
MS-T			2/21/2023	7	202302219578712308				-	-	-	-	-	-	-
MS-T			3/3/2023	1	202303039578826968				-	-	-	-	-	-	-
RQ2	Q1	A	7/11/2023	3	202307110300187552	1/1/2023	3/31/2023	7/11/2023	-	-	-	-	-	-	-
F3N	Q1	N	4/14/2023	23	202304149580446700	1/1/2023	3/31/2023		\$295,221	\$16,491	\$61,881	\$249,832	-	-	\$0
F3A	Q1	A	6/4/2023	28	202306049581701959	1/1/2023	3/31/2023		\$345,521	\$22,991	\$62,381	\$306,132	-	-	\$0
F3N	Q2	N	7/15/2023	27	202307159583545806	4/1/2023	6/30/2023		\$306,132	\$17,528	\$84,493	\$239,166	-	-	\$0
F2A		A	2/16/2023	1	202302169578647304				-	-	-	-	-	-	-
F2A		A	3/3/2023	1	202303039578826963				-	-	-	-	-	-	-
Totals										\$40,519	\$146,874				

O-Index (2021-2022)															
Cmte. ID: C00287904 Cmte. Name: SHEILA JACKSON LEE FOR CONGRESS															
Treasurer Name: GRAYS, KAREN Y. Address: 4412 ALMEDA ROAD, HOUSTON, TX 77004															
Cmte. Type: H (HOUSE) Cmte. Designation: P (PRINCIPAL CAMPAIGN COMMITTEE OF A CANDIDATE) Filing Frequency: QUARTERLY FILER															
Form Tp	Rpt Tp	A/I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans	Debts & Loans
MS-T			4/26/2022	1	202204269502479107				-	-	-	-	-	-	-
MS-T			4/28/2022	2	202204289502482856				-	-	-	-	-	-	-
RQ2	Q1	A	8/29/2021	2	202108290300125431	1/1/2021	3/31/2021	8/29/2021	-	-	-	-	-	-	-
RQ2	Q2	N	8/29/2021	4	202108290300125427	4/1/2021	6/30/2021	8/29/2021	-	-	-	-	-	-	-
RQ2	Q2	A	12/14/2021	2	202112140300129782	4/1/2021	6/30/2021	12/14/2021	-	-	-	-	-	-	-
RQ2	Q3	A	5/19/2022	2	202205190300144019	7/1/2021	9/30/2021	5/19/2022	-	-	-	-	-	-	-
RQ2	Q3	N	12/12/2021	6	202112120300129655	7/1/2021	9/30/2021	12/12/2021	-	-	-	-	-	-	-
RQ2	YE	N	3/24/2022	8	202203240300138432	10/1/2021	12/31/2021	3/24/2022	-	-	-	-	-	-	-
RQ2	12P	N	3/22/2022	2	202203220300138241	1/1/2022	2/9/2022	3/22/2022	-	-	-	-	-	-	-
RQ2	Q1	A	5/19/2022	6	202205190300144013	2/10/2022	3/31/2022	5/19/2022	-	-	-	-	-	-	-
RQ2	Q2	N	8/24/2022	2	202208240300151961	4/1/2022	6/30/2022	8/24/2022	-	-	-	-	-	-	-
RQ2	Q3	N	11/22/2022	8	202211220300161639	7/1/2022	9/30/2022	11/22/2022	-	-	-	-	-	-	-
RQ2	12G	N	11/22/2022	6	202211220300161647	10/1/2022	10/19/2022	11/22/2022	-	-	-	-	-	-	-
RQ2	30G	N	1/19/2023	10	202301190300167885	10/20/2022	11/28/2022	1/19/2023	-	-	-	-	-	-	-
RQ2	YE	N	4/5/2023	3	202304050300177775	11/29/2022	12/31/2022	4/5/2023	-	-	-	-	-	-	-
RQ2	YE	A	7/11/2023	2	202307110300187550	11/29/2022	12/31/2022	7/11/2023	-	-	-	-	-	-	-
F3N	Q1	N	4/14/2021	24	202104149443349298	1/1/2021	3/31/2021		\$167,819	\$8,405	\$30,054	\$146,169	-	-	\$0
F3A	Q1	A	4/14/2021	25	202104149443351060	1/1/2021	3/31/2021		\$167,819	\$8,405	\$30,997	\$145,226	-	-	\$0
F3N	Q2	N	7/15/2021	51	202107159451640640	4/1/2021	6/30/2021		(\$39,125)	\$130,203	\$54,179	\$36,899	-	-	\$0
F3A	Q2	A	10/26/2021	51	202110269468350496	4/1/2021	6/30/2021		\$236,802	\$135,630	\$69,527	\$302,905	-	-	\$0
F3A	Q2	A	4/14/2023	50	202304149580571352	4/1/2021	6/30/2021		\$237,131	\$133,230	\$66,252	\$304,109	-	-	\$0
F3N	Q3	N	10/14/2021	25	202110149467244718	7/1/2021	9/30/2021		\$302,705	\$23,968	\$36,921	\$289,751	-	-	\$0
F3A	Q3	A	4/28/2022	26	202204289502483855	7/1/2021	9/30/2021		\$282,402	\$34,876	\$36,921	\$280,356	-	-	\$0
F3A	Q3	A	4/14/2023	26	202304149580571432	7/1/2021	9/30/2021		\$304,109	\$35,909	\$35,887	\$304,131	-	-	\$0
F3N	YE	N	1/31/2022	86	202201319486353255	10/1/2021	12/31/2021		\$300,659	\$151,223	\$74,970	\$376,912	-	-	\$0

F3A	YE	A	4/28/2022	88	202204289502483767	10/1/2021	12/31/2021		\$280,356	\$144,323	\$77,870	\$346,809	-	-	\$0
F3A	YE	A	4/14/2023	86	202304149580571618	10/1/2021	12/31/2021		\$304,131	\$138,273	\$77,870	\$364,534	-	-	\$0
F3N	12P	N	2/14/2022	45	202202149491739087	1/1/2022	2/9/2022		\$377,912	\$95,538	\$17,272	\$456,178	-	-	\$0
F3A	12P	A	4/28/2022	48	202204289502483719	1/1/2022	2/9/2022		\$346,809	\$100,138	\$17,702	\$429,245	-	-	\$0
F3A	12P	A	6/4/2023	48	202306049581701545	1/1/2022	2/9/2022		\$364,534	\$100,138	\$17,755	\$446,916	-	-	\$0
F3N	Q1	N	4/15/2022	45	202204159496561315	2/10/2022	3/31/2022		\$460,348	\$126,770	\$46,766	\$540,351	-	-	\$0
F3A	Q1	A	4/28/2022	46	202204289502483673	2/10/2022	3/31/2022		\$429,245	\$126,770	\$48,016	\$507,998	-	-	\$0
F3A	Q1	A	6/4/2023	48	202306049581701593	2/10/2022	3/31/2022		\$446,916	\$126,770	\$47,791	\$525,895	-	-	\$0
F3N	Q2	N	7/15/2022	31	202207159518696459	4/1/2022	6/30/2022		\$532,051	\$9,923	\$68,182	\$473,792	-	-	\$0
F3A	Q2	A	6/4/2023	32	202306049581701641	4/1/2022	6/30/2022		\$525,895	\$10,923	\$68,182	\$468,635	-	-	\$0
F3N	Q3	N	10/15/2022	114	202210159537271448	7/1/2022	9/30/2022		\$474,792	\$228,650	\$166,219	\$537,223	-	-	\$0
F3A	Q3	A	6/4/2023	116	202306049581701682	7/1/2022	9/30/2022		\$468,635	\$226,529	\$166,969	\$528,196	-	-	\$0
F3N	12G	N	10/27/2022	32	202210279546525898	10/1/2022	10/19/2022		\$537,973	\$76,889	\$17,277	\$597,585	-	-	\$0
F3A	12G	A	6/4/2023	34	202306049581701798	10/1/2022	10/19/2022		\$528,196	\$77,860	\$32,174	\$573,881	-	-	\$0
F3N	30G	N	12/8/2022	93	202212089548000284	10/20/2022	11/28/2022		\$600,456	\$122,546	\$314,834	\$408,167	-	-	\$0
F3A	30G	A	6/4/2023	106	202306049581701832	10/20/2022	11/28/2022		\$573,881	\$113,331	\$313,586	\$373,626	-	-	\$0
F3N	YE	N	1/31/2023	17	202301319575491213	11/29/2022	12/31/2022		\$325,780	\$18,929	\$43,334	\$301,375	-	-	\$0
F3A	YE	A	6/4/2023	21	202306049581701938	11/29/2022	12/31/2022		\$373,626	\$22,829	\$50,934	\$345,521	-	-	\$0
F6N			2/15/2021	2	202102159427792397				-	-	-	-	-	-	-
F6N			2/12/2022	2	202202129491734614				-	-	-	-	-	-	-
F6N			2/28/2022	1	202202289493727519				-	-	-	-	-	-	-
F6N			3/1/2022	1	202203019493728057				-	-	-	-	-	-	-
F6N			10/23/2022	1	202210239541502345				-	-	-	-	-	-	-
F6N			11/2/2022	1	202211029546699152				-	-	-	-	-	-	-
F6N			10/27/2022	2	202210279541788686				-	-	-	-	-	-	-
F6N			10/29/2022	2	202210299546673677				-	-	-	-	-	-	-
F6N			10/25/2022	4	202210259541652398				-	-	-	-	-	-	-
F6N			11/6/2022	2	202211069546717753				-	-	-	-	-	-	-
F6N			11/4/2022	1	202211049546709103				-	-	-	-	-	-	-
Totals										\$994,200	\$908,403				

O-Index (2019-2020)															
Cmte. ID: C00287904 Cmte. Name: SHEILA JACKSON LEE FOR CONGRESS															
Treasurer Name: GRAYS, KAREN Y. Address: 4412 ALMEDA ROAD, HOUSTON, TX 77004															
Cmte. Type: H (HOUSE) Cmte. Designation: P (PRINCIPAL CAMPAIGN COMMITTEE OF A CANDIDATE) Filing Frequency: QUARTERLY FILER															
Form Tp	Rpt Tp	A/I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans	Debts & Loans
RQ2	Q2	A	9/26/2019	6	201909260300048349	4/1/2019	6/30/2019	9/26/2019	-	-	-	-	-	-	-
RQ2	Q3	A	1/10/2021	2	202101100300100641	7/1/2019	9/30/2019	1/10/2021	-	-	-	-	-	-	-
RQ2	Q3	N	12/8/2019	5	201912080300052652	7/1/2019	9/30/2019	12/8/2019	-	-	-	-	-	-	-
RQ2	YE	A	1/10/2021	4	202101100300100643	10/1/2019	12/31/2019	1/10/2021	-	-	-	-	-	-	-
RQ2	YE	N	5/7/2020	5	202005070300070301	10/1/2019	12/31/2019	5/7/2020	-	-	-	-	-	-	-
RQ7	12P		2/21/2020	2	202002210300058402	1/1/2020	2/12/2020	2/21/2020	-	-	-	-	-	-	-
RQ2	12P	A	5/7/2020	2	202005070300070306	1/1/2020	2/12/2020	5/7/2020	-	-	-	-	-	-	-
RQ2	Q1	A	1/10/2021	4	202101100300100647	2/13/2020	3/31/2020	1/10/2021	-	-	-	-	-	-	-
RQ2	Q1	N	5/7/2020	8	202005070300070411	2/13/2020	3/31/2020	5/7/2020	-	-	-	-	-	-	-
RQ2	Q2	A	11/22/2020	2	202011220300093256	4/1/2020	6/30/2020	11/22/2020	-	-	-	-	-	-	-
RQ2	Q3	N	11/23/2020	2	202011230300093375	7/1/2020	9/30/2020	11/23/2020	-	-	-	-	-	-	-
RQ2	12G	A	1/10/2021	2	202101100300100651	10/1/2020	10/14/2020	1/10/2021	-	-	-	-	-	-	-
RQ2	12G	N	11/22/2020	4	202011220300093272	10/1/2020	10/14/2020	11/22/2020	-	-	-	-	-	-	-

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RQ2	30G	A	1/10/2021	6	202101100300100653	10/15/2020	11/23/2020	1/10/2021	-	-	-	-	-	-	-	-
RQ2	YE	A	7/13/2021	2	202107130300120866	11/24/2020	12/31/2020	7/13/2021	-	-	-	-	-	-	-	-
F3N	Q1	N	4/15/2019	37	201904159146345223	1/1/2019	3/31/2019		\$83,337	\$56,165	\$22,135	\$117,366	-	-	\$0	
F3A	Q1	A	7/31/2019	37	201907319161342608	1/1/2019	3/31/2019		\$83,337	\$56,165	\$22,135	\$117,366	-	-	\$0	
F3A	Q1	A	2/15/2021	37	202102159427791651	1/1/2019	3/31/2019		\$83,337	\$53,365	\$22,135	\$114,566	-	-	\$0	
F3A	Q1	A	7/26/2022	41	202207269525051559	1/1/2019	3/31/2019		\$66,502	\$53,770	\$20,865	\$99,407	-	-	\$0	
F3N	Q2	N	7/14/2019	49	201907149150600608	4/1/2019	6/30/2019		\$117,366	\$120,303	\$31,217	\$206,451	-	-	\$0	
F3A	Q2	A	7/14/2019	49	201907149150600886	4/1/2019	6/30/2019		\$117,366	\$122,803	\$31,217	\$208,951	-	-	\$0	
F3A	Q2	A	7/31/2019	49	201907319161342693	4/1/2019	6/30/2019		\$117,366	\$122,803	\$31,217	\$208,951	-	-	\$0	
F3A	Q2	A	10/27/2019	49	201910279165302551	4/1/2019	6/30/2019		\$117,366	\$122,803	\$31,217	\$208,951	-	-	\$0	
F3A	Q2	A	7/26/2022	66	202207269525051602	4/1/2019	6/30/2019		\$99,407	\$134,310	\$36,458	\$197,259	-	-	\$0	
F3N	Q3	N	10/15/2019	48	201910159163855643	7/1/2019	9/30/2019		\$208,951	\$103,790	\$36,934	\$275,807	-	-	\$0	
F3A	Q3	A	6/11/2020	48	202006119239700592	7/1/2019	9/30/2019		\$207,367	\$103,790	\$36,934	\$274,223	-	-	\$0	
F3A	Q3	A	2/15/2021	48	202102159427791841	7/1/2019	9/30/2019		\$204,567	\$103,790	\$36,934	\$271,423	-	-	\$0	
F3A	Q3	A	7/26/2022	63	202207269525051668	7/1/2019	9/30/2019		\$197,259	\$113,675	\$53,226	\$257,708	-	-	\$0	
F3N	YE	N	1/31/2020	46	202001319185863859	10/1/2019	12/31/2019		\$275,807	\$119,250	\$32,029	\$363,028	-	-	\$0	
F3A	YE	A	6/11/2020	46	202006119239700546	10/1/2019	12/31/2019		\$274,223	\$119,250	\$32,029	\$361,444	-	-	\$0	
F3A	YE	A	2/15/2021	46	202102159427791889	10/1/2019	12/31/2019		\$271,423	\$119,250	\$32,029	\$358,644	-	-	\$0	
F3A	YE	A	7/26/2022	64	202207269525051731	10/1/2019	12/31/2019		\$257,708	\$120,506	\$28,647	\$349,566	-	-	\$0	
F3N	12P	N	2/21/2020	34	202002219203315052	1/1/2020	2/12/2020		\$363,028	\$40,650	\$157,332	\$246,345	-	-	\$0	
F3A	12P	A	2/21/2020	34	202002219203315172	1/1/2020	2/12/2020		\$363,028	\$40,650	\$157,332	\$246,345	-	-	\$0	
F3A	12P	A	6/11/2020	37	202006119239700509	1/1/2020	2/12/2020		\$361,444	\$40,650	\$182,351	\$219,742	-	-	\$0	
F3A	12P	A	2/15/2021	37	202102159427791935	1/1/2020	2/12/2020		\$358,644	\$40,650	\$182,351	\$216,942	-	-	\$0	
F3A	12P	A	2/15/2021	37	202102159427792408	1/1/2020	2/12/2020		\$358,644	\$40,650	\$182,351	\$216,942	-	-	\$0	
F3A	12P	A	7/26/2022	54	202207269525051795	1/1/2020	2/12/2020		\$349,566	\$42,028	\$179,476	\$212,118	-	-	\$0	
F3N	Q1	N	4/15/2020	82	202004159219631585	2/13/2020	3/31/2020		\$221,326	\$185,139	\$351,886	\$54,579	-	-	\$0	
F3A	Q1	A	6/11/2020	81	202006119239700428	2/13/2020	3/31/2020		\$219,742	\$184,822	\$351,886	\$52,678	-	-	\$0	
F3A	Q1	A	2/15/2021	81	202102159427791688	2/13/2020	3/31/2020		\$216,942	\$184,822	\$351,886	\$49,878	-	-	\$0	
F3A	Q1	A	7/26/2022	131	202207269525051849	2/13/2020	3/31/2020		\$212,118	\$206,889	\$322,593	\$96,415	-	-	\$0	
F3A	Q2	A	7/14/2020	15	202007149244575259	4/1/2020	6/30/2020		\$52,678	\$34,285	\$17,414	\$69,549	-	-	\$0	
F3N	Q2	N	7/14/2020	15	202007149244573887	4/1/2020	6/30/2020		\$52,678	\$32,785	\$13,914	\$71,549	-	-	\$0	
F3A	Q2	A	7/14/2020	15	202007149244574634	4/1/2020	6/30/2020		\$52,678	\$34,285	\$13,914	\$73,049	-	-	\$0	
F3A	Q2	A	12/21/2020	15	202012219393380631	4/1/2020	6/30/2020		\$52,678	\$34,285	\$17,414	\$69,549	-	-	\$0	
F3A	Q2	A	2/15/2021	15	202102159427791972	4/1/2020	6/30/2020		\$49,878	\$34,285	\$17,414	\$66,749	-	-	\$0	
F3A	Q2	A	2/15/2021	15	202102159427792445	4/1/2020	6/30/2020		\$49,878	\$34,285	\$17,414	\$66,749	-	-	\$0	
F3A	Q2	A	7/26/2022	43	202207269525051980	4/1/2020	6/30/2020		\$96,415	\$42,391	\$26,557	\$112,249	-	-	\$0	
F3N	Q3	N	10/15/2020	56	202010159295634833	7/1/2020	9/30/2020		\$69,549	\$143,886	\$35,111	\$178,324	-	-	\$0	
F3A	Q3	A	12/13/2020	56	202012139374346908	7/1/2020	9/30/2020		\$69,549	\$143,886	\$35,111	\$178,324	-	-	\$0	
F3A	Q3	A	12/21/2020	56	202012219393380648	7/1/2020	9/30/2020		\$69,549	\$143,886	\$35,111	\$178,324	-	-	\$0	
F3A	Q3	A	2/15/2021	56	202102159427791987	7/1/2020	9/30/2020		\$66,749	\$143,886	\$35,111	\$175,524	-	-	\$0	
F3A	Q3	A	2/15/2021	56	202102159427792460	7/1/2020	9/30/2020		\$66,749	\$143,886	\$35,111	\$175,524	-	-	\$0	
F3A	Q3	A	7/26/2022	89	202207269525052023	7/1/2020	9/30/2020		\$112,249	\$167,381	\$39,747	\$239,883	-	-	\$0	
F3N	12G	N	10/22/2020	8	202010229336305813	10/1/2020	10/14/2020		\$178,324	\$5,002,760	\$24,836	\$5,156,248	-	-	\$0	
F3A	12G	A	12/13/2020	8	202012139374346964	10/1/2020	10/14/2020		\$178,324	\$7,760	\$24,836	\$161,248	-	-	\$0	
F3A	12G	A	12/21/2020	8	202012219393380706	10/1/2020	10/14/2020		\$178,324	\$7,760	\$24,836	\$161,248	-	-	\$0	
F3A	12G	A	2/15/2021	8	202102159427792043	10/1/2020	10/14/2020		\$175,524	\$7,760	\$24,836	\$158,448	-	-	\$0	
F3A	12G	A	2/15/2021	8	202102159427792400	10/1/2020	10/14/2020		\$175,524	\$7,760	\$24,836	\$158,448	-	-	\$0	
F3A	12G	A	7/26/2022	13	202207269525052115	10/1/2020	10/14/2020		\$239,883	\$5,405	\$29,456	\$215,831	-	-	\$0	
F3N	30G	N	12/3/2020	70	202012039341048187	10/15/2020	11/23/2020		\$5,156,248	\$111,422	\$91,318	\$5,176,352	-	-	\$0	

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F3A	30G	A	12/21/2020	71	202012219393380773	10/15/2020	11/23/2020		\$161,248	\$113,386	\$91,318	\$183,316	-	-	\$0
F3A	30G	A	2/15/2021	72	202102159427791769	10/15/2020	11/23/2020		\$158,448	\$113,386	\$92,318	\$179,516	-	-	\$0
F3A	30G	A	2/15/2021	72	202102159427792516	10/15/2020	11/23/2020		\$158,448	\$113,386	\$92,318	\$179,516	-	-	\$0
F3A	30G	A	7/26/2022	155	202207269525052133	10/15/2020	11/23/2020		\$215,831	\$181,342	\$127,129	\$270,043	-	-	\$0
F3N	YE	N	1/31/2021	8	202101319424712053	11/24/2020	12/31/2020		\$182,316	\$480	\$12,177	\$170,619	-	-	\$0
F3A	YE	A	2/15/2021	8	202102159427792588	11/24/2020	12/31/2020		\$179,516	\$480	\$12,177	\$167,819	-	-	\$0
F3A	YE	A	7/26/2022	12	202207269525052290	11/24/2020	12/31/2020		\$270,043	\$763	\$10,801	\$260,006	-	-	\$0
F6N			2/24/2020	3	202002249203318053				-	-	-	-	-	-	-
F6A			2/25/2020	3	202002259203318066				-	-	-	-	-	-	-
F6N			3/1/2020	5	202003019203487250				-	-	-	-	-	-	-
F6A			3/2/2020	10	202003029203689309				-	-	-	-	-	-	-
F2A		A	6/25/2019	1	201906259150349902				-	-	-	-	-	-	-
F2A		A	7/15/2019	1	201907159150615351				-	-	-	-	-	-	-
F6N			11/1/2020	1	202011019336679830				-	-	-	-	-	-	-
F6N			10/29/2020	1	202010299336666091				-	-	-	-	-	-	-
F2A		A	11/18/2019	1	201911189165521774				-	-	-	-	-	-	-
F6N			11/8/2020	1	202011089336972981				-	-	-	-	-	-	-
F6N			11/5/2020	4	202011059336972070				-	-	-	-	-	-	-
Totals										\$1,068,464	\$874,961				