



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C.

December 5, 2023

MEMORANDUM

TO: The Commission

THROUGH: Alec Palmer *AP*
Staff Director

FROM: Patricia C. Orrock *PCO*
Chief Compliance Officer

Debbie Chacona *DC*
Assistant Staff Director
Reports Analysis Division
KDR

BY: Kristin D. Roser/Ben Holly *BH*
Reports Analysis Division
Compliance Branch

SUBJECT: Reason To Believe Recommendation – 2023 October Quarterly Report for the Administrative Fine Program

Attached is a list of political committees and their treasurers who failed to file the 2023 October Quarterly Report in accordance with 52 U.S.C. § 30104(a). The October Quarterly was due on October 15, 2023.

The committees listed in the attached RTB Circulation Report either failed to file the report or filed the report [REDACTED] no more than thirty (30) days after the due date (considered a late filed report). In accordance with the schedule of civil money penalties for reports at 11 C.F.R. 111.43, these committees should be assessed the civil money penalties highlighted on the attached circulation report.

Recommendation

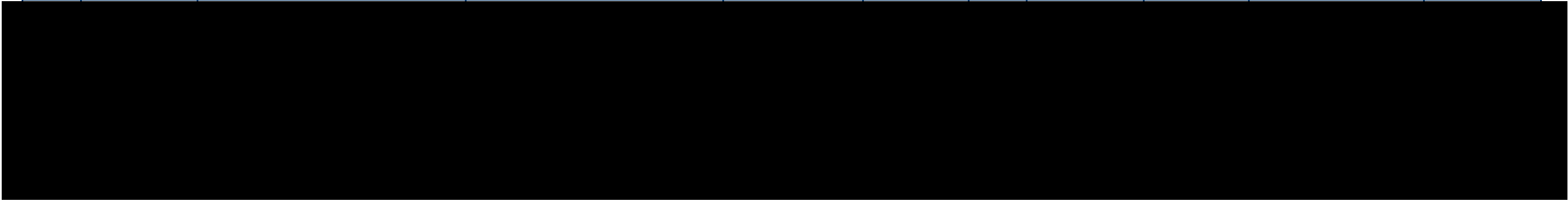
1. Find reason to believe that the political committees and their treasurers, in their official capacity, listed on the RTB Circulation Report violated 52 U.S.C. § 30104(a) and make a

preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.

2. Send the appropriate letters.

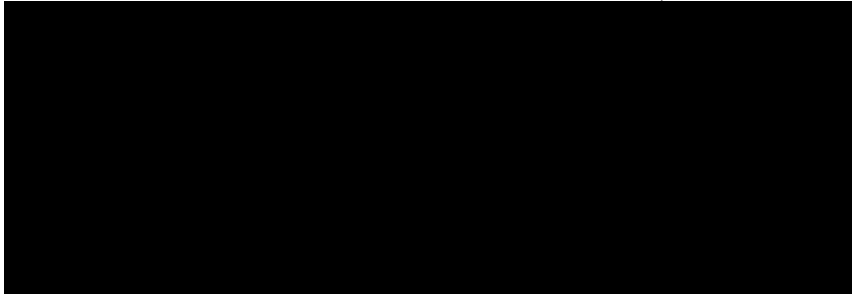
Federal Election Commission
Reason to Believe Circulation Report
2023 OCTOBER QUARTERLY Not Election Sensitive 10/15/2023 H_S_P

AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	PV	Receipt Date	Days Late	LOA	RTB Penalty
4672	C00836973	CASEY FOR CONGRESS	STEPHEN M. CASEY	JONATHAN URCAN	\$135,655	0		Not Filed	\$67,828 (est)	\$4,624



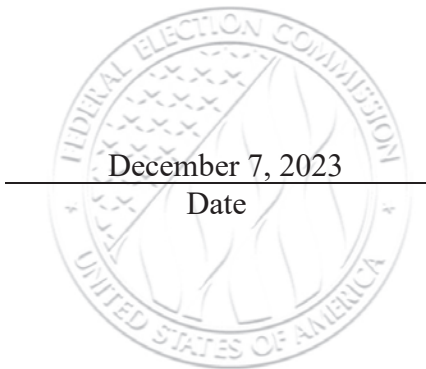
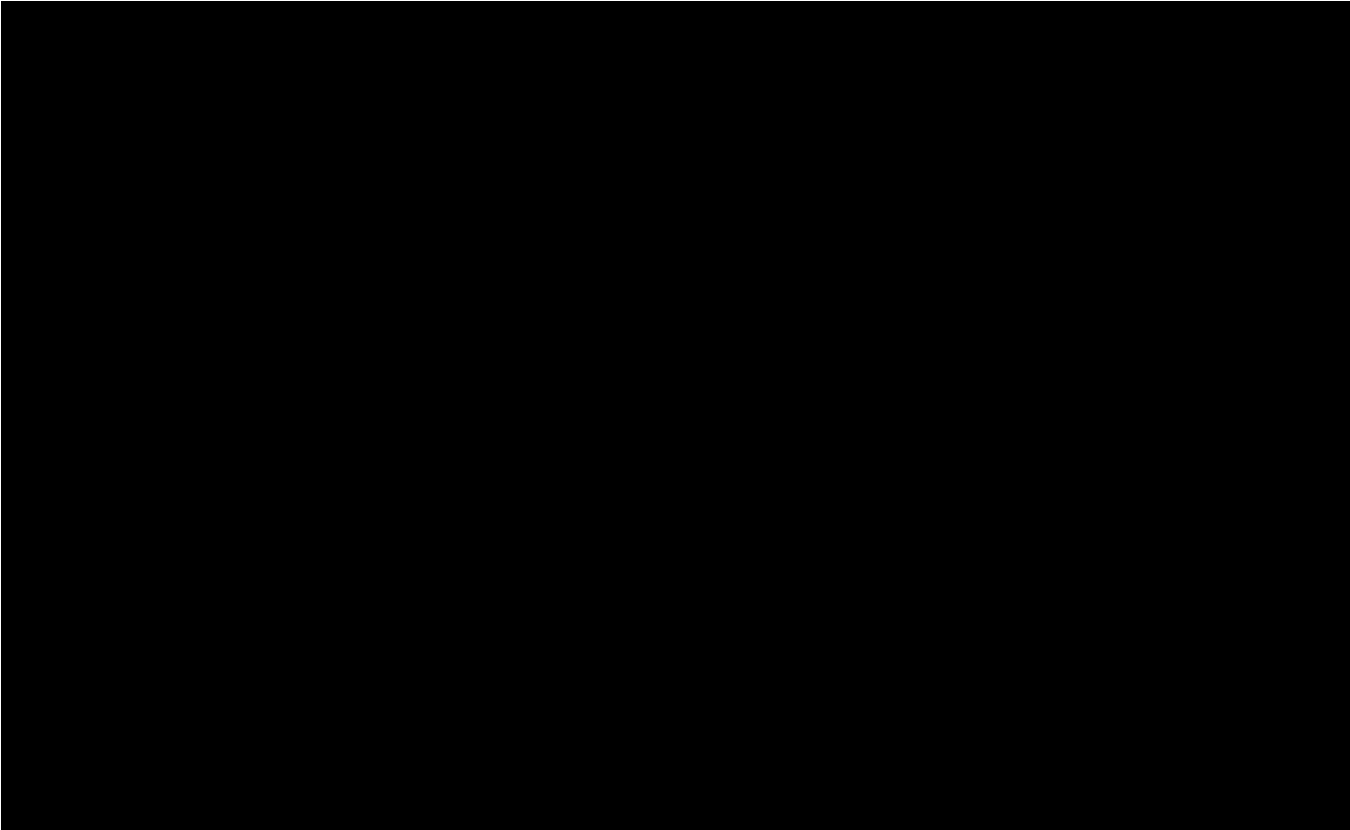
BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
 Reason To Believe Recommendation 2023)
 October Quarterly Report for the)
 Administrative Fine Program:)
 CASEY FOR CONGRESS, and URCAN,) AF# 4672
 JONATHAN as treasurer;)

CERTIFICATION

I, Vicktoria J. Allen, Deputy Secretary of the Federal Election Commission, do hereby certify that on December 07, 2023 the Commission took the following actions on the Reason To Believe Recommendation 2023 October Quarterly Report for the Administrative Fine Program, as recommended in the Reports Analysis Division's Memorandum dated December 05, 2023, on the following committees:

AF#4672 Decided by a vote of 5-0 to: (1) find reason to believe that CASEY FOR CONGRESS, and URCAN, JONATHAN in their official capacity as treasurer, violated 52 U.S.C. § 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Broussard, Cooksey, Lindenbaum, Trainor, and Weintraub voted affirmatively for the decision. Commissioner Dickerson did not vote.



Attest:

Vicktoria J Allen Digitally signed by Vicktoria J
Allen
Date: 2023.12.07 17:19:43 -05'00'

Vicktoria J. Allen
Deputy Secretary of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C.

AF

December 8, 2023

Jonathan Urcan, in official capacity as Treasurer
Casey for Congress
635 Park Ave
Woonsocket, RI 02895

C00836973
AF#: 4672
FINE: \$4,624

Dear Jonathan Urcan,

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file an October Quarterly Report of Receipts and Disbursements every calendar year. This report, covering the period August 17, 2023 through September 30, 2023, shall be filed no later than October 15, 2023. 52 U.S.C. § 30104(a). Because records at the Federal Election Commission (the "Commission" or "FEC") indicate that this report was not filed within thirty (30) days of the due date, the report is considered not filed for the purpose of calculating the civil money penalty. You should file this report if you have not already done so.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 52 U.S.C. § 30104(a). 52 U.S.C. § 30109(a)(4). On December 7, 2023, the Commission found that there is reason to believe ("RTB") that Casey for Congress and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) by failing to file timely this report on or before October 15, 2023. Based on the FEC's schedules of civil money penalties at 11 C.F.R. § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$4,624. Please see the attached copy of the Commission's administrative fine regulations at 11 C.F.R. §§ 111.30-111.55. Attachment 1. The FEC's website contains further information about how the administrative fine program works and how the fines are calculated. See <https://www.fec.gov/af/af.shtml>. 11 C.F.R. § 111.34. Your payment of \$4,624 is due within forty (40) days of the finding, or by January 16, 2024, and is based on these factors:

Sensitivity of Report: Not Election Sensitive
Level of Activity: \$67,828
Number of Days Late: Not Filed
Number of Previous Civil Money Penalties Assessed: 0

CASEY FOR CONGRESS

Page 2 of 4

At this juncture, the following courses of action are available to you:

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you decide to challenge the RTB finding and/or calculated civil money penalty, you must email a written response to the FEC's Office of Administrative Review at administrativefines@fec.gov. Your response must include the AF# (found at the top of page 1 under your committee's identification number) and be received within forty (40) days of the Commission's RTB finding, or January 16, 2024. 11 C.F.R. § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 C.F.R. § 111.36(c).

The FEC will only consider challenges that are based on at least one of three factors: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 C.F.R. § 111.35(b). For a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. *Id.* Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 C.F.R. § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 C.F.R. § 111.35(d).

If you fail to timely raise a factual argument in your challenge to the RTB finding, your right to present such an argument in an appeal to the U.S. District Court under 52 U.S.C. § 30109 shall be deemed waived. 11 C.F.R. § 111.38.

It should also be noted that all challenges to an RTB finding and/or calculated civil money penalty should be converted to PDF (Portable Document Format) and emailed to administrativefines@fec.gov. The Commission encourages the use of electronic signatures on electronically submitted documents, but scanned copies of ink signatures will be accepted. Electronically submitted challenges will be deemed received on the

CASEY FOR CONGRESS

Page 3 of 4

date they are electronically received by staff.

In addition, if you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address, and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not submit a written response challenging the RTB finding and/or calculated civil money penalty, the FEC will conclude that the factual allegations are true and make a final determination that Casey for Congress and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701, et seq. The Commission may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 C.F.R. § 111.51(a)(2).

3. If You Choose to Pay the Civil Money Penalty

If you decide to pay the calculated civil money penalty, please follow the payment instructions below. Upon receipt of your payment, the FEC will send you a final determination letter.

You may remit payment by Automated Clearinghouse ("ACH") withdrawal from your bank account, or by debit or credit card through Pay.gov, the federal government's secure portal for online collections. Visit <https://www.fec.gov/af/pay.shtml> to be directed to Pay.gov's Administrative Fine Program Payment form. Please use the details at the top of this letter to complete the required fields.

NOTICE REGARDING PARTIAL PAYMENTS AND SETTLEMENT OFFERS

Partial Payments

If you make a payment in an amount less than the calculated civil money penalty, the amount of your partial payment will be credited towards the full civil money penalty that the Commission assesses upon making a final determination.

CASEY FOR CONGRESS

Page 4 of 4

Settlement Offers

Any offer to settle or compromise a debt owed to the Commission will be rejected. This includes making a payment in an amount less than the calculated civil money penalty assessed or any restrictive endorsements contained on your check or money order or proposed in correspondence transmitted with your check or money order. Acceptance and deposit or cashing of such a restricted payment does not constitute acceptance of the settlement offer. Payments containing restrictive endorsements will be deposited and treated as a partial payment towards the civil money penalty that the Commission assesses upon making a final determination. All unpaid civil money penalty amounts remaining will be subject to the debt collection procedures set forth in Section 2, above.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 52 U.S.C. § 30109(a)(2). Unless you notify the FEC in writing that you wish the matter to be made public, it will remain confidential in accordance with 52 U.S.C. §§ 30109(a)(4)(B) and 30109(a)(12)(A) until it is placed on the public record at the conclusion of this matter in accordance with 11 C.F.R. § 111.42.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <https://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact Ben Holly in the Reports Analysis Division at our toll-free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll-free number (800) 424-9530 (press 0, then ext. 1158) or (202) 694-1158.

On behalf of the Commission,



Dara Lindenbaum

Chair



UCRAN & COMPANY LLC

CERTIFIED PUBLIC ACCOUNTANTS • BUSINESS ADVISORS

February 1, 2023

Federal Election Commission
Washington, D.C.
Attn: Ben Holly

Re: AF#: 4672
Casey For Congress (C00836973)

To Whom it May Concern:

My name is Jonathan L. Ucran CPA and I am the Treasurer for Casey for Congress (C00836973). On December 18, 2023 I received notification via letter attached to email that a Civil Penalty has been assessed against me for failure to timely file our October Report of Receipts and Disbursements that covered the period August 17, 2023 through September 30, 2023.

I have not been in receipt of the hard-copy letter dated 12/8/23. I am responding to the original email received on 12/18/2023 (see below). I represent, under penalty of perjury, that the missed report covering the period 8/17/23 – 9/30/23 was filed on Tuesday January 30, 2023. The Q4 Report covering the period 10/01/23 through 12/31/23 was timely filed on Wednesday January 31, 2024. I further represent, to the best of my knowledge and belief, with the exception of the missed filing all other required filings have been prepared and submitted timely.

The late filed report is 100% my fault. I am a CPA and the owner and Principal of Ucran & Company LLC, a Tax and Accounting Practice with 20+ employees that serves more than 2000 clients. In addition to meeting the needs of my clients at the 9/15/23 and 10/15/23 deadlines, during the months of September and October 2023

It is my representation that the commitments of my business and personal affairs distracted me from submitting the October 2023 report on time.

I do understand the seriousness of the election process and the importance to the democratic process of timely filed reports. I am truly sorry that this late filing has happened. The record will show that all other reports, including the report currently due, have been timely filed.

I am requesting the mercy and understanding of the commission as it is my representation that items going on in my personal and professional life distracted me from timely filing the October report. I further understand and promise that I will adhere to future deadlines without exception. I want to thank you for your time and consideration. Please feel free to reach out to me at jonathan@ucrancpa.com or 401-349-5300 should anything additional be needed. I look forward to your response.

Sincerely,

Jonathan L. Ucran CPA
Treasurer, Casey For Congress

36 Smith Avenue, Greenville, RI 02828 • Office: 401-349-5300 • Fax: 401-349-5322 • UcranCPA.com



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C.

March 27, 2024

REVIEWING OFFICER RECOMMENDATION OFFICE OF ADMINISTRATIVE REVIEW (“OAR”)

AF# 4672 – Casey for Congress and Jonathan Ucran¹, in their official capacity as Treasurer (C00836973)

Summary of Recommendation

Terminate the proceedings in accordance with 11 C.F.R. § 111.37(b) and close the file.

Reason-to-Believe Background

The 2023 October Quarterly Report was due on October 15, 2023.² The respondents filed the report on January 30, 2024, 107 days late. The report is not election sensitive and was not filed within 30 days of the due date; therefore, the report is considered not filed. 11 C.F.R. §§ 111.43(d)(1) and (e)(1).

On December 7, 2023, the Commission found reason to believe (“RTB”) that the respondents violated 52 U.S.C. § 30104(a) for failing to timely file the 2023 October Quarterly Report and made a preliminary determination that the civil money penalty was \$4,624 based on the schedule of penalties at 11 C.F.R. § 111.43. A letter was sent to the respondents’ email address of record from the Reports Analysis Division (“RAD”) on December 8, 2023 to notify them of the Commission’s RTB finding and civil money penalty.

Legal Requirements

The Federal Election Campaign Act (“Act”) states that the treasurer of a principal campaign committee shall file a report for the quarter ending September 30 no later than October 15. 52 U.S.C. § 30104(a) and 11 C.F.R. § 104.5(a)(1)(i). Reports electronically filed must be received and validated at or before 11:59 pm Eastern Standard/Daylight Time on the filing deadline to be

¹ The Committee’s original [Statement of Organization](#) (FEC Form 1), filed April 5, 2023, incorrectly disclosed the Treasurer’s name as Jonathan *Urcan*. On March 21, 2024, the Committee filed an [Amended FEC Form 1](#) to correctly disclose the Treasurer’s name as Jonathan *Ucran*. The respondents in this matter have been updated accordingly.

² Due to the Candidate’s participation in the 2023 Special Primary Election in Rhode Island’s 1st Congressional District on September 5, 2023, the Committee’s 2023 filing schedule was adjusted. The Committee was required to file a Special Pre-Primary Report to cover July 1, 2023 through August 16, 2023 and an October Quarterly Report to cover August 17, 2023 through September 30, 2023. See [Rhode Island 01 Special Election Report Notice](#).

timely filed. 11 C.F.R. §§ 100.19(c) and 104.5(e). The treasurer shall be personally responsible for the timely filing of reports. 11 C.F.R. § 104.14(d).

Summary of Respondents' Challenge

On February 1, 2024, the Commission received the written response (“challenge”) from the Treasurer, who is also the Principal of Ucran & Company, LLC, a tax and accounting practice. Mr. Ucran notes that he is responsible for the Committee’s failure to timely file the 2023 October Quarterly Report. He explains that around the time of the filing deadline, he was meeting the needs of his clients while also coping with terminal health issues of a family member and close friend. He acknowledges that “...the commitments of my business and personal affairs distracted [him] from submitting the [October Quarterly Report] on time.” The Treasurer requests the Commission’s understanding of the circumstances presented and promises to adhere to all future reporting requirements.

Analysis

The Reviewing Officer is sympathetic to the personal circumstances presented. However, a committee’s treasurer shall be personally responsible for the timely filing of reports. 11 C.F.R. § 104.14(d). Moreover, Treasurer unavailability and negligence are included at 11 C.F.R. § 111.35(d) as examples of circumstances that will not be considered reasonably unforeseen and beyond the respondents’ control.

While the Treasurer did not contend the Committee was unaware of the 2023 October Quarterly reporting requirement, the Reviewing Officer analyzed the Commission’s distribution of related notifications. The Reviewing Officer confirmed the Commission appropriately notified and reminded the Committee of the 2023 October Quarterly Report filing requirement on three separate occasions prior to the October 15, 2023 filing deadline.³ Further, on November 2, 2023, RAD sent the Non-filer Notice to the Committee because it had not yet filed the report. However, due to a Filing Notification System error, the Committee did not receive a Late Notification email on October 16, 2023, the day following the filing deadline. According to the Assistant Staff Director of RAD, the Committee did not receive this notification because the System incorrectly determined the 2023 October Quarterly Report had been filed based on the coverage dates of the Committee’s 2023 Special Pre-Primary Report, filed August 24, 2023.⁴

The Reviewing Officer recognizes the Commission did not treat the respondents in accordance with its normal business procedures. Had the Commission sent the Late Notification email to the Committee on October 16, 2023, the respondents may have been alerted sooner to their failure to file the report. Therefore, the Reviewing Officer recommends that the Commission terminate the proceedings in accordance with 11 C.F.R. § 111.37(b) and close the file.

³ See Attachments 2 and 3.

⁴ See Footnote 1 and Attachment 4. The system error was previously identified and has since been resolved.

OAR Recommendations

1. Terminate the proceedings in AF# 4672 that Casey for Congress and Jonathan Ucrán, in their official capacity as Treasurer, violated 52 U.S.C. § 30104(a) and close the file; and
2. Send the appropriate letter.

Attachments

Attachment 1 –

Attachment 2 –

Attachment 3 –

Attachment 4 – Declaration from RAD

Attachment 5 – Declaration from OAR



DECLARATION OF KRISTIN D. ROSER

1. I am the Chief of the Compliance Branch for the Reports Analysis Division of the Federal Election Commission (“Commission”). In my capacity as Chief of the Compliance Branch, I oversee the initial processing of the Administrative Fine Program. I make this declaration based on my personal knowledge and, if called upon as a witness, could and would testify competently to the following matters.
2. It is the practice of the Reports Analysis Division to document all calls to or from committees regarding a letter they receive or any questions relating to the FECFile software or administrative fine regulations, including due dates of reports and filing requirements.
3. I hereby certify that documents identified herein are true and accurate copies of the following sent by RAD’s Filing Notification System to Casey for Congress’s official email address of record and any email address(es) entered by the Committee when uploading electronic reports in the last year:
 - A) Filing Reminder email, dated October 11, 2023, referencing the 2023 October Quarterly Report (sent via electronic mail to: scasey1968@hotmail.com and jonathan@urcan CPA.com).
4. I hereby certify that documents identified herein are true and accurate copies of the following sent by the Commission to Casey for Congress’s official email address of record:
 - A) Non-filer Notice, dated November 2, 2023, referencing the 2023 October Quarterly Report (sent via electronic mail to: scasey1968@hotmail.com); and
 - B) Reason-to-Believe Letter, dated December 8, 2023, referencing the 2023 October Quarterly Report (sent via electronic mail to: scasey1968@hotmail.com).
5. I hereby certify that I have searched the Commission’s public records and find that Casey for Congress filed the 2023 October Quarterly Report with the Commission on January 30, 2024.

6. Pursuant to 28 U.S.C. 1746, I declare under penalty of perjury that the foregoing is true and correct and that all relevant telecoms for the matter have been provided. This declaration was executed on the 22nd day of March, 2024.

Kristin D. Roser

Kristin D. Roser
Chief, Compliance Branch
Reports Analysis Division
Federal Election Commission

From: fec@fec.gov
To: Reports Analysis Division (RAD) Staff, January Terminals, All Issues
Subject: FEC Report Due 10/15 (October Quarterly - Candidate Committee)
Date: Wednesday, October 11, 2023 11:26:01 AM

Federal Election Commission Reminder Email

10/11/2023

Dear Filer,

The Federal Election Commission (FEC) would like to remind you that the 2023 October Quarterly Report is due on October 15, 2023 for candidate committees. Newly registered campaign committees that have not raised or spent more than \$5,000 by the ending coverage date of the October Quarterly Report do not need to file this report (we encourage these committees to file a statement with the FEC to clarify for the public record that the committee has not met the \$5,000 reporting threshold). For more information regarding your filing schedule, visit: <https://www.fec.gov/help-candidates-and-committees/dates-and-deadlines/>.

Electronic filers have until 11:59 PM (ET) on Sunday, October 15 to submit their October Quarterly Report on time. Staff in the Reports Analysis Division (RAD) and Electronic Filing Office will be available until 8:00 PM (ET) on Friday, October 13 to answer questions regarding the content and filing of reports. However, due to the high volume of calls and extended wait times close to the deadline, we encourage you to file early.

Note that this year, the 2023 October Quarterly filing deadline falls on a Sunday. The Commission does not have authority to extend filing deadlines, even when they fall on weekends or federal holidays. Filers should plan accordingly.

To reach the Reports Analysis Division (RAD), for help with reporting questions and issues, call 1-800-424-9530 (press 5) or 202-694-1130. For help with technical issues relating to FECFile or electronic filing, dial the same toll-free number and press 4 or call 202-694-1307. You may also look up the name and contact information of your committee's assigned campaign finance analyst or submit a question to your assigned analyst online at <https://www.fec.gov/help-candidates-and-committees/question-rad/>. Please also visit: <https://www.fec.gov/help-candidates-and-committees/> for helpful information, such as reporting examples.

Help for electronic filers is available at: <https://www.fec.gov/help-candidates-and-committees/filing-reports/electronic-filing/>. Assistance with FECFile, including a User Manual, can be found at: <https://www.fec.gov/help-candidates-and-committees/filing-reports/fecfile-software/>. If you need to obtain or update your electronic filing password, please visit: <https://webforms.fec.gov/psa/getstarted.htm>.

Thank You,
Federal Election Commission (FEC)

This email was sent to: @ToAddress
Your Committee ID at FEC: @FECComId
FEC-@FECEmailNumber

This e-mail is intended only for the use of the committee/filer designated as recipient.

Reminder Email Committee List

#	COMMITTEE ID	COMMITTEE NAME	ANALYST NAME	EMAIL ADDRESSES
1	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
2	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
3	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
4	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
5	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
6	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
7	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
8	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
9	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
10	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
11	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
12	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
13	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
14	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
15	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
16	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
17	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
18	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
19	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
20	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
21	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
22	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
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38	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
39	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
40	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
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54	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
55	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
56	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
57	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
58	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
59	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
60	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
61	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
62	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
63	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
64	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
65	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
66	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
67	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
68	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
69	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
70	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
71	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
72	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
73	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
74	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
75	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
76	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
77	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
78	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
79	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
80	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
81	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
82	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
83	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
84	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
85	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
86	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
87	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
88	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
89	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
90	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
91	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
92	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
93	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
94	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
95	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
96	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
97	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
98	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
99	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
100	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C.

RQ-7

November 2, 2023

URCAN, JONATHAN, TREASURER
CASEY FOR CONGRESS
635 PARK AVE
WOONSOCKET, RI 02895

IDENTIFICATION NUMBER: C00836973

REFERENCE: OCTOBER QUARTERLY REPORT (08/17/2023 - 09/30/2023)

Dear Treasurer:

It has come to the attention of the Federal Election Commission that you may have failed to file the above referenced report of receipts and disbursements or failed to file a report covering the entire reporting period as required by the Federal Election Campaign Act, as amended. 52 U.S.C. §30104(a)

It is important that you file this report immediately, 1050 First Street, NE, Washington, DC 20463. For reports shipped via delivery services (e.g., FedEx, UPS or DHL), use the ZIP code 20002. Please note that electronic filers must submit their reports electronically, as per 11 CFR §104.18. A copy of the report must also be filed with the Secretary of State or equivalent State officer unless the State is exempt from the federal requirement to receive and maintain paper copies. You can verify the Commission's receipt of any documents submitted by your committee on the FEC website at www.fec.gov.

The failure to timely file a complete report may result in civil money penalties, an audit or legal enforcement action. The civil money penalty calculation for late reports does not include a grace period and begins on the day following the due date for the report. The Commission recommends that you submit your report via overnight delivery or courier service.

If you have any questions regarding this matter, please contact Christopher Ritchie in the Reports Analysis Division on our toll-free number (800)424-9530. The analyst's direct number is (202)694-1146.

CASEY FOR CONGRESS

Page 2 of 2

Sincerely,

A handwritten signature in black ink that reads "Debbie Chacona". The signature is written in a cursive, flowing style.

Deborah Chacona
Assistant Staff Director

250

DECLARATION OF RHIANNON MAGRUDER

- 1) I am the Reviewing Officer in the Office of Administrative Review for the Federal Election Commission (“Commission”). In my capacity as Reviewing Officer, I conduct research with respect to all challenges submitted in accordance with the Administrative Fine program.
- 2) A committee authorized by a candidate shall file a report covering through September 30 by October 15. Reports filed electronically must be received and validated at or before 11:59 pm, Eastern Standard/Daylight Time on October 15, 2023 for the 2023 October Quarterly Report to be timely filed.
- 3) I hereby certify that I have searched the Commission’s public records and that the documents identified herein are the true and accurate copies of:
 - a) Cover Page, Summary Page, and Detailed Summary Pages of the 2023 October Quarterly Report filed by Casey for Congress. The report includes the coverage period of August 17, 2023 through September 30, 2023 and was electronically filed on January 30, 2024.
- 4) Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct and that this declaration was executed on the 27th day of March, 2024.

Rhiannon Magruder

Rhiannon Magruder

Reviewing Officer

Office of Administrative Review

Federal Election Commission

SUMMARY PAGE
of Receipts and Disbursements

FEC Form 3 (Revised 03/2016)

Write or Type Committee Name

My Committee

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Election Cycle-to-Date
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(e))....	17805.00	17805.00
(b) Total Contribution Refunds (from Line 20(d))	0.00	0.00
(c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a))	17805.00	17805.00
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	25029.32	25029.32
(b) Total Offsets to Operating Expenditures (from Line 14).....	0.00	0.00
(c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a))	25029.32	25029.32
8. Cash on Hand at Close of Reporting Period (from Line 27).....	31138.87	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

For further information, contact the Federal Election Commission at 800-424-9530 or visit www.fec.gov.

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3 (Revised 05/2016)

Write or Type Committee Name

My Committee

Report Covering the Period: From: / / To: / /

I. RECEIPTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
11. CONTRIBUTIONS (other than loans) FROM:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	15100.00	15100.00
(ii) Unitemized	2705.00	2705.00
(iii) TOTAL of contributions from individuals	17805.00	17805.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) The Candidate	0.00	0.00
(e) TOTAL CONTRIBUTIONS (other than loans) (add Lines 11(a)(iii), (b), (c), and (d))..	17805.00	17805.00
12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES	0.00	0.00
13. LOANS:		
(a) Made or Guaranteed by the Candidate	0.00	0.00
(b) All Other Loans	0.00	0.00
(c) TOTAL LOANS (add Lines 13(a) and (b))	0.00	0.00
14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)	0.00	0.00
15. OTHER RECEIPTS (Dividends, Interest, etc.)	1.82	1.82
16. TOTAL RECEIPTS (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)	17806.82	17806.82

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3 (Revised 05/2016)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
17. OPERATING EXPENDITURES.....	25029.32	25029.32
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES	0.00	0.00
19. LOAN REPAYMENTS:		
(a) Of Loans Made or Guaranteed by the Candidate.....	0.00	0.00
(b) Of All Other Loans	0.00	0.00
(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b)).....	0.00	0.00
20. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees.....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c)).....	0.00	0.00
21. OTHER DISBURSEMENTS	0.00	0.00
22. TOTAL DISBURSEMENTS (add Lines 17, 18, 19(c), 20(d), and 21) ▶	25029.32	25029.32

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD.....	38361.37
24. TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3).....	17806.82
25. SUBTOTAL (add Line 23 and Line 24).....	56168.19
26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22).....	25029.32
27. CASH ON HAND AT CLOSE OF REPORTING PERIOD (subtract Line 26 from Line 25).....	31138.87



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C.

March 27, 2024

Jonathan Ucran, in official capacity as Treasurer
Casey for Congress
635 Park Ave.
Woonsocket, RI 02895

C00836973
AF# 4672

Dear Treasurer:

On December 7, 2023, the Federal Election Commission (“the Commission”) found reason to believe (“RTB”) that Casey for Congress and you, in your official capacity as Treasurer, violated 52 U.S.C. § 30104(a) for failing to file the 2023 October Quarterly Report. The Commission also made a preliminary determination that the civil money penalty was \$4,624 based on the schedule of penalties at 11 C.F.R. § 111.43.

After reviewing your written response and any supplemental information submitted by you and Commission staff, the Reviewing Officer has recommended that the Commission terminate the proceedings and close the file. A copy of the Reviewing Officer’s recommendation is attached.

You may file with the Commission Secretary a written response to the recommendation within 10 days of the date of this letter. All written responses and supporting documentation should be converted to PDF (Portable Document Format) and must be emailed to the Commission Secretary at secretary@fec.gov. The Commission encourages the use of electronic signatures on electronically submitted documents, but scanned copies of ink signatures will be accepted. Electronically submitted responses will be deemed received on the date it is electronically received by staff. Please include the AF # in your response. Your response may not raise any arguments not raised in your original written response or not directly responsive to the Reviewing Officer’s recommendation. 11 C.F.R. § 111.36(f). The Commission will then make a final determination in this matter.

Please contact me at the toll free number 800-424-9530 (press 0, then press 1660) or 202-694-1158 if you have any questions.

Sincerely,

Rhiannon Magruder
Rhiannon Magruder
Reviewing Officer
Office of Administrative Review



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C.

RECEIVED

By Office of the Commission Secretary at 10:25 am, Apr 17, 2024

April 17, 2024

SENSITIVE

MEMORANDUM

To: The Commission

Through: Alec Palmer *AP*
Staff Director

From: Patricia C. Orrock *PCO*
Chief Compliance Officer

Rhiannon Magruder *RM*
Reviewing Officer
Office of Administrative Review

Subject: Final Determination Recommendation in AF# 4672 – Casey for Congress and Jonathan Ucran, in their official capacity as Treasurer (C00836973)

On December 7, 2023, the Commission found reason to believe (“RTB”) that the respondents violated 52 U.S.C. § 30104(a) for failing to timely file the 2023 October Quarterly Report and made a preliminary determination that the civil money penalty was \$4,624 based on the schedule of penalties at 11 C.F.R. § 111.43. On February 1, 2024, the Commission received their written response (“challenge”). After reviewing the challenge, the Reviewing Officer Recommendation (“ROR”) dated March 27, 2024 was forwarded to the Commission, a copy was forwarded to the respondents, and is hereby incorporated by reference.

The Reviewing Officer was sympathetic to the personal circumstances presented in the challenge but noted Treasurer unavailability and negligence are included at 11 C.F.R. § 111.35(d) as examples of circumstances that will not be considered reasonably unforeseen and beyond the respondents’ control. However, during analysis of the matter, the Reviewing Officer recognized the Commission did not treat the respondents in accordance with its normal business procedures. Specifically, the Commission failed to send the Late Notification email to the Committee on October 16, 2023, the day following the filing deadline. The Reviewing Officer recognized that if the Commission had appropriately sent the Late Notification email to the Committee, the respondents may have been alerted sooner to their failure to file the report. Therefore, the Reviewing Officer recommended that the Commission terminate the proceedings in accordance with 11 C.F.R. § 111.37(b) and close the file. *See* ROR.

Within 10 days of transmittal of the recommendation, the respondents may file a written response with the Commission Secretary which may not raise any arguments not raised in their challenge or not directly responsive to the ROR. 11 C.F.R. § 111.36(f). To date, a response has not been received.

The Reviewing Officer recommends that the Commission terminate the proceedings in accordance with 11 C.F.R. § 111.37(b) and close the file.

OAR Recommendations

1. Terminate the proceedings in AF# 4672 that Casey for Congress and Jonathan Ucran, in their official capacity as Treasurer, violated 52 U.S.C. § 30104(a) and close the file; and
2. Send the appropriate letter.

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) AF 4672
Final Determination Recommendation:)
Casey for Congress and Jonathan Ucrán,)
in their official capacity as Treasurer)
(C00836973))

CERTIFICATION

I, Laura E. Sinram, Secretary and Clerk of the Federal Election Commission, do hereby certify that on April 24, 2024, the Commission decided by a vote of 6-0 to take the following actions in AF 4672:

1. Terminate the proceedings in AF# 4672 that Casey for Congress and Jonathan Ucrán, in their official capacity as Treasurer, violated 52 U.S.C. § 30104(a) and close the file.
2. Send the appropriate letter.

Commissioners Broussard, Cooksey, Dickerson, Lindenbaum, Trainor, and Weintraub voted affirmatively for the decision.



April 24, 2024
Date

Attest:

**Laura e
Sinram**

Digitally signed by
Laura e Sinram
Date: 2024.04.24
17:06:44 -04'00'

Laura E. Sinram
Secretary and Clerk of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C.

May 14, 2024

Jonathan Ucran, in official capacity as Treasurer
Casey for Congress
635 Park Ave.
Woonsocket, RI 02895

C00836973
AF# 4672

Dear Treasurer:

On December 7, 2023, the Federal Election Commission (“the Commission”) found reason to believe (“RTB”) that Casey for Congress and you, in your official capacity as Treasurer, violated 52 U.S.C. § 30104(a) for failing to file the 2023 October Quarterly Report. By letter dated December 8, 2023, the Commission sent notification of the RTB finding that included a civil money penalty calculated at \$4,624 in accordance with the schedule of penalties at 11 CFR § 111.43. On February 1, 2024, the Office of Administrative Review received your written response challenging the RTB finding.

The Reviewing Officer reviewed the Commission’s RTB finding with its supporting documentation and your written response. Based on this review, the Reviewing Officer recommended that the Commission terminate the proceedings and close the file. The Reviewing Officer Recommendation was sent to you on March 27, 2024.

On April 24, 2024, the Commission adopted the Reviewing Officer’s recommendation to terminate the proceedings with respect to 52 U.S.C. § 30104(a) and close the file. A copy of the Final Determination Recommendation is attached.

The confidentiality provisions at 52 U.S.C. § 30109(a)(12) no longer apply and this matter is now public. Pursuant to 11 CFR §§ 111.42(b) and 111.20(c), the file will be placed on the public record within 30 days from the date of this notification.

If you have any questions regarding this matter, please contact Rhiannon Magruder on our toll-free number (800) 424-9530 (press 0, then ext. 1158) or (202) 694-1158.

On behalf of the Commission,

A handwritten signature in black ink that reads "Sean J. Cooksey".

Sean J. Cooksey
Chairman