

FEDERAL ELECTION COMMISSION

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FEC RELEASES EIGHT COMPLIANCE CASES

WASHINGTON -- The Federal Election Commission has made public its final action on eight matters previously under review (MURs). This release contains only summary information. Closed files should be thoroughly read for details, including the FEC's legal analysis of the case. (Please see footnote at the end of this release.) Closed MUR files are available in the Public Records Office. They are as follows:

MUR NO.

1. MUR 3540

RESPONDENTS:

- (a) Prudential Securities, Inc. (NY)
- (b) Prudential Securities Political Action Committee, Bruno G. Bissetta, treasurer (NY)
- (c) George Ball (NJ) [former CEO, PSI, Inc.]
- (d) Edward Fergus (NY) [Senior VP, PSI, Inc.]
- (e) Harry A. Jacobs, Jr. (NY) [Senior Director, former Chairman and CEO, PSI, Inc.]
- (f) Gerald McBride (NY) [Senior VP, PSI, Inc.]
- (g) Loren Schechter (NY) [Senior counsel, PSI, Inc.]
- (h) Hardwick Simmons (NY) [current President and CEO, PSI, Inc.]
- (i) Philip Smith (NY) [First VP and Dir. Public Affairs Dept., PSI, Inc.]
- (j) W. James Tozer, Jr. (NY) [former Pres. and CEO, PSI, Inc.]

COMPLAINANT: SUBJECT:

FEC Initiated
Corporate contributions, (use of corporate facilities and personnel for fundraising efforts on behalf of federal candidates)

DISPOSITION:

- (a) Conciliation Agreement: \$550,000 civil penalty*
- (b-c) Reason to believe, but took no further action*
- (d-e) Reason to believe knowingly and willfully violated law, but took no further action*
- (f-j) Reason to believe, but took no further action*
Sent admonishment letters

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2. MUR 3910

RESPONDENTS: (a) Thomas A. Kershaw (MA)
(b) Committee to Elect "Duke" Cunningham, Kenneth Burnell
Batson, treasurer (CA)
(c) Friends of Bill Zeliff Committee, Robert Goodman,
treasurer (NH)
COMPLAINANT: Ellen S. Miller, Executive Director, Center for Responsive
Politics (DC)
SUBJECT: Exceeding the annual \$25,000 contribution limit (1992),
excessive contributions
DISPOSITION: (a-c) Took no action*

3. MUR 3913

RESPONDENTS: (a) John A. Gabriel (CA) [deceased]
(b) Presidential Victory Committee, Robert Miller,
treasurer (CA)
COMPLAINANT: Ellen S. Miller, Executive Director, Center for Responsive
Politics (DC)
SUBJECT: Exceeding the annual \$25,000 contribution limit (1992),
excessive contributions
DISPOSITION: (a-b) Took no action*

4. MUR 3914

RESPONDENT: Eugene Applebaum (MI)
COMPLAINANT: Ellen S. Miller, Executive Director, Center for Responsive
Politics (DC)
SUBJECT: Exceeding the annual \$25,000 contribution limit (1992)
DISPOSITION: Took no action*

5. MUR 3915

RESPONDENTS: (a) Chesley Pruet (AR)
(b) Bush-Quayle '92 Primary Committee, Inc., J. Stanley
Huckaby, treasurer (VA)
(c) Republican Party of Arkansas, Harry "Pat" Dodge,
treasurer (AR)
COMPLAINANT: Ellen S. Miller, Executive Director, Center for Responsive
Politics (DC)
SUBJECT: Exceeding the annual \$25,000 contribution limit (1992),
excessive contributions
DISPOSITION: (a-c) Took no action*

6. MUR 3916

RESPONDENTS: (a) Bruce D. Benson (CO)
(b) Wayne Allard for Congress Committee, Douglas Markle,
treasurer (CO)
COMPLAINANT: Ellen S. Miller, Executive Director, Center for Responsive
Politics (DC)
SUBJECT: Exceeding the annual \$25,000 contribution limit (1992),
excessive contributions
DISPOSITION: (a-b) Took no action*

7. MUR 4029

RESPONDENTS: (a) Basil L. Bethea, Jr. (FL)
(b) Basil Bethea for Congress, Steve Riggs, treasurer (FL)
COMPLAINANT: Ralph F. Perkins (FL)
SUBJECT: Excessive contributions
DISPOSITION: (a-b) Took no action*

8. MUR 4030

RESPONDENTS: (a) Vince Whibbs, Jr. (FL)
(b) Vince Whibbs Jr. Campaign Committee, Richard R.
Baker, treasurer (FL)
COMPLAINANT: Ralph F. Perkins (FL)
SUBJECT: Failure to report contributor information
DISPOSITION: (a-b) Took no action*

*There are four administrative stages to the FEC enforcement process:

1. Receipt of proper complaint
 2. "Reason to believe" stage
 3. "Probable cause" stage
 4. Conciliation stage
- It takes the votes of at least four of the six Commissioners to take any action. The FEC can close a case at any point after reviewing a complaint. If a violation is found and conciliation cannot be reached, then the FEC can institute a civil court action against a respondent.

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