



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

Finance Committee Meeting  
**4<sup>th</sup> Floor Conference Room**  
April 30, 2012, 11:00am

- I. Status of FY 2012 Management Plan Execution
- II. Prioritize IT Project Review Board Recommendations
- III. Schedule Next Finance Committee Meeting – To be discussed



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

Finance Committee Meeting  
**4<sup>th</sup> Floor Conference Room**  
October 23, 11:00 am

- I. Budget Summary of FY 2012
- II. FY 2013 Draft management plan for continuing resolution
- III. Financial statement audit for FY 2012
- IV. Schedule Next Finance Committee Meeting – To be discussed



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

Finance Committee Meeting  
**4<sup>th</sup> Floor Conference Room, Room 417**  
Wednesday, November 19, 2:00 pm

- I. FY 2013 Execution of management plan for continuing resolution
- II. Sequestration
- III. Schedule Next Finance Committee Meeting – To be discussed



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

Finance Committee Meeting  
**2<sup>th</sup> Floor Conference Room, Room 204**  
November 29, 2:30 pm

- I. FY 2013 Execution of management plan for continuing resolution
- II. Pass back for FY 2014
- III. Funding level for reasonable accommodations
- IV. Schedule Next Finance Committee Meeting – To be discussed



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

Finance Committee Meeting  
**5<sup>th</sup> floor Conference Room**  
April 18, 2013, 10:30 A.M.

- I. Discuss the 5% Sequestration reduction
- II. FY 2013 Draft management plan
- III. Carryover of 50% of FY 2012 funding - \$168,000
- IV. Accounts Receivable cross servicing with Treasury's Debt Management Service (DMS)
- V. Schedule Next Finance Committee Meeting – (TBD)



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

Finance Committee Meeting  
**4<sup>th</sup> floor Conference Room**  
January 22, 2014, 2:00 P.M.

- I. FY 2014 Draft management plan
- II. Priorities for Personnel and Non-Personnel spending
- III. Congressional Affairs update
- IV. FY 2015 passback and Congressional Budget Submission
- V. Schedule Next Finance Committee Meeting – (TBD)



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

Finance Committee Meeting  
**4<sup>th</sup> floor Conference Room**  
February 26, 2014, 2:00 P.M.

- I. FY 2014 Draft management plan
- II. Congressional Affairs update
- III. FY 2015 passback and Congressional Budget Submission
- IV. Schedule Next Finance Committee Meeting – (TBD)



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

Finance Committee Meeting  
**2nd floor Executive Conference Room 232A**  
June 25, 2014, 2:00 P.M.

- I. FY 2014 year-end funds
  
- II. Next meeting – July 21, 2014





**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

**Finance Committee Meeting**

**4<sup>th</sup> Floor Conference Room**

**January 13, 2016 - - 2pm**

- I. Discussion Regarding the FY 2016 Management Plan
- II. FY 2017 Congressional Budget Justification
- III. Discussion of Scheduling the next Finance Committee Meeting



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

**Finance Committee Meeting**

**4<sup>th</sup> Floor Conference Room**

**February 10, 2016 - 2pm**

- I. Discussion Regarding the FY 2016 Management Plan
- II. Electronic Filing – Increase the capacity of E-filing for this election cycle
- III. Increase of OGC positions for staff detailed as EA's and floaters
- IV. Discussion of Scheduling the next Finance Committee Meeting



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

**Finance Committee Meeting**

**4<sup>th</sup> Floor Conference Room**

**November 29<sup>th</sup>, 2016 - 2pm**

- I. Discussion Regarding the FY 2017, Continuing Resolution
- II. OGC Request – FOIA Software
- III. OGC Request – Additional Cell phones
- IV. OGC Request – Attorney Professional Dues
- V. OCIO – 18F Funding Request
- VI. Next Meeting



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

**Finance Committee Meeting**  
**Fourth Floor Conference Room**  
**March 22, 2017, 2:00 P. M.**  
**Agenda**

- 1 Discussion Regarding the FY 2017- Draft Management Plan as of February 28, 2017
  - Total CR amount = \$70,983,803, for operations, (may need to revise Personnel – Non –Personnel split) – see attached
  - As of 3/20/17, approximately \$1.2 million is estimated to be available in Personnel funds
  - Does not include funding for awards
  - Agency requested \$8M in FY 17 Lease Expiration funds. From CR granted \$4.9 million in 2 year Lease Expiration Funds
  - Does not include OGCs funding request for more iphones and payment of Lawyer's Bar Association dues
  - Any additional funding request(s)
  - Current number vacancies in pipeline for hire = TBD
  - Current funding, approximately would allow for hiring 3 to 5, depending on additional attrition, Employees on board PP 5 = 329
  - Option of requesting 50% of FY 16 lapsed funds, if needed – approximately \$600K ½ = 300K
  - FY 2017 Financial Statement Engagement letter – response due by March 31, 2017 – (Chair & Vice –Chair)
  
- 2 FY 2018 OMB Budget – \$71,250,000 – Appeal if any, due by the COB 3/22/17
  - See memo dated March 20, 2017
  - 1.9% proposed funding for salary increase would need to be absorbed
  
- 3 EAC Update and potential implications – Duane
  
- 4 Next Finance Committee meeting - April?



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

**Finance Committee Meeting**  
**Fourth Floor Conference Room**  
**May 11th, 2017, 4:00 P. M.**  
**Agenda**

- 1 Discussion Regarding the **FY 2017-** Revised Draft Management Plan – Need to approve
  - 1Its Audit time
  - Total = \$71,119,000, for operations, – see attached Management Plan
  - As of 4/25/17, approximately \$1.3 million is estimated to be available in Personnel funds – **Less than 5 months remaining in the FY**
  - Funding for awards at approximately \$425,000 is now available
  - Agency requested and was granted \$8M in FY 17 Lease Expiration funds.
  - Does not include OGCs funding request for more iphones and payment of Lawyer's Bar Association dues
  - Does not include any additional funding request(s) – New Efiling Architecture
  - Current number vacancies in pipeline for hire = TBD
  - Current funding projected target is 340 average FTEs. Employees on board PP 7, April 15<sup>th</sup>, = 325
  
- 2 **FY 2018** OMB Budget – \$71,250,000 –
  - FEC Funding document needs to be to OMB around mid - **May**
    - FEC High level priorities for FY 18 - Draft
      - Efiling project
      - Cyber security initiatives
      - Successful move to new leased space
      - Elimination conversion of legacy systems
      - Complying with Workforce Reshaping Executive Order
  - 1.9% proposed funding for salary increase would need to be absorbed
  
- 3 Next Finance Committee meeting – June ?



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

**Finance Committee Meeting**  
**Fourth Floor Conference Room**  
**June 8th, 2017, 4:00 P. M.**  
**Agenda**

- 1 Discussion Regarding the **FY 17-** Management Plan approved May 25<sup>th</sup> - Thanks
  - Its Audit time
  - As of 5/26/17, approximately \$1.0 million is estimated to be available in Personnel related funds – **Less than 4 months remaining in the FY**
  - Funding for awards at approximately \$425,000 is now available - approval needed
  - OGCs funding request for more, iphones and payment of Lawyer's Bar Association dues
  - Additional funding request(s) – New Efiling Architecture, E - Discovery ? - status
  - Current number vacancies in pipeline for hire = 7 + TBD
  - Funding projected target was 340 average FTEs. Employees on board PP 10, May 26<sup>th</sup>, = 319
- 2 **FY 18** OMB Budget – \$71,250,000–submitted May 23–Thanks for your assistance
- 3 **FY 2019** Budget call – in process
- 4 Next Finance Committee meeting – July?



**FEDERAL ELECTION COMMISSION**  
 Washington, DC 20463

**Finance Committee Meeting**  
**5<sup>th</sup> Floor Conference Room**  
**July 26th 2017, 2:00 P. M.**  
**Agenda – Updated in bold**

- FY 17- Management Plan approved May 25<sup>th</sup>
- Audit information
- Less than 3 months remaining in the FY
- Awards funding was estimated at approximately \$425,000 because of new OPM guidance, the Awards calculation is separated into 2 categories, and the recommended FEC funding is up \$527,747. (See below)

**OCFO Summary Awards recommendation for FY 17 Awards**

<i>Per OMB Guidance</i>	FY 2016 YE	\$25,067	= 1.5% of SL total aggregate SL salaries as of PP 19
	FY 2016 YE	\$485,969	= 1.5% of aggregate salaries of all non- SL employees as of PP 19, includes \$25,000 for potential on the spot awards
	FY 2016 YE	\$511,036	=1.5% of SL and 1.5 % of non-SLs total aggregate salaries as of PP 19
	FY 2016 YE	\$16,711	= 1.0 % of SL aggregate salaries for Special Act Awards for SLs
		\$527,747	= Potential total of SL and Non SL awards for FY 17

- OGCs funding request for eDiscovery and Anonymous email
- OCIO Additional funding request(s) – **Bormium = \$91,300**, New Efiling Architecture,
- Current vacancies in pipeline for hire, **additional 9 requests, see page 2 -**
- Projected target was 340 average FTEs. Employees on board PP 13, July 8<sup>th</sup>, = 321
- Year end closing
- Senior Management Council (SMC)

I. FY 18 OMB Budget – \$71,250,000–submitted

a) **Press Office requests additional \$23,780 in funding to support “Proposed Change to News and Views Delivery and Format”**

**FY 2019 Budget – in progress – due 9/11/17**

II. Next Finance Committee meeting – August

	<u>Office</u>	<u>Job Title</u>	<u># of Vacancies</u>	<u>Comments</u>
Approved 5/11/17	OCFO	Accountant	1	on board
Approved 5/11/17	Compliance	Coding Clerk	1	on board
		<b>On-boarded:</b>	<b>2</b>	
Approved 5/11/17	Compliance	Campaign Finance Analyst	3	start date: 8/6/17
Approved 5/11/17	OCFO	Contract Specialist	1	start date: 8/6/17
		<b>Hired</b>	<b>4</b>	
Approved - In process	OCIO	IT Spec (Security)	1	Tentative offer, waiting on clearance
Approved 6/7/17	OGC	Admin Assistant - Litigation	1	Tentative offer made
Approved 5/11/17	Mgmt&Admin	Dep. Commission Sec.	1	interviewing
Approved 5/11/17	OCIO	IT Spec (Systems/Ent.Arch)	1	reviewing applicatons
Approved 5/11/17	OCIO	IT Mobile Dev. (UX Design)	1	reviewing applicatons
Approved 5/11/17	OCIO	IT Spec (App Software)	1	interviewing
Approved 6/7/17	OCFO	Contract Specialist	1	posting closes 8/3
Approved 5/11/17	OSD	Special Assistant (Mgmt & Program)	1	pending vacancy announcement
Approved 5/11/17	Compliance	ADRO Director	1	pending vacancy announcement
Approved 6/7/17	OGC	Attorney/Enforcement (CELA)	1	pending vacancy announcement
Approved 6/7/17	OGC	Attorney/Enforcement	1	pending vacancy announcement
Approved 6/7/17	OGC	Attorney - FOIA Specialist	1	pending vacancy announcement
Approved 6/13/17	Compliance	Secretary	1	pending vacancy announcement
Approved 6/13/17	Compliance	Campaign Finance Analyst	1	pending vacancy announcement
		<b>In-Process:</b>	<b>14</b>	
	OIG	Inspector General	1	position description w/ personnel cmte
	OCFO	CFO	1	position description w/ personnel cmte
	Mgmt&Admin	HR Specialist (Policies and Programs)	1	
	Mgmt&Admin	HR Specialist	1	Gary Vogt departure Feb 2017
	OGC	Attorney/Policy	1	
	OGC	Attorney/Enforcement	1	P. Reynolds departure
	Compliance	Campaign Finance Analyst	3	1 Retirement, 2 resignations (June/July)
	Compliance	Auditor	tbd	requesting approval to post, not yet to hire
		<b>Immediate Requests:</b>	<b>9</b>	





**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

**Finance Committee Meeting**  
**4<sup>th</sup> Floor Conference Room**  
**August 22<sup>nd</sup>, 2017**

- FY 17- Management Plan approved May 25<sup>th</sup>
- Audit information
- Less than 45 days remaining in the FY
- Because of new OPM guidance, the Awards calculation is separated into 2 categories; the recommended FEC funding percentage is approximately 86.5% for each category and is still being finalized.
- OGC & OCFO funding request for scanning assistance
- OCIO Additional funding request(s) –
- Current vacancies in pipeline for hire = 6, additional requests = ?
  - OCFO request to hire Accounting Director
- Projected target was 340 average FTEs. Employees on board PP 15, August 5<sup>th</sup>, = 317. End of year projection = 323
- Year end closing

	<b>Initial Total SL Awards</b>	<b>Adjusted for Budget \$25,067</b>	<b>Additional funds needed</b>	<b>Total New amount</b>
	Award Amount	67.6%		86.5%
SL Performance Awards	\$ 37,100	\$ 25,067	\$ 7,025	<u>\$ 32,092</u>
SL Special Act Awards	TBD	<u>\$ 16,711</u>	0	
	<b>Initial Total BU &amp; NBU Awards</b>	<b>Adjusted for Budget \$485,969</b>		
	Estimated Award Amount	86.5% of Estimated Award Amount		
NBU & BU Total Awards	\$ 559,110	\$ 483,630	0	

- 1 FY 18 OMB Budget – \$71,250,000– CR expected to begin the FY to December
- 2 FY 2019 Budget in progress –flat line funding guidance – due 9/11/17
- 3 Next Finance Committee meeting – September ?



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

**Finance Committee Meeting**  
**4<sup>th</sup> Floor Conference Room**  
**September 6th 2017 2:00 PM**

- FY 17- Management Plan approved May 25<sup>th</sup>
- Audit information
- Less than 25 days remaining in the FY
- Awards for remaining employees should be in check dated 9/11/17
- OGCs funding request for scanning assistance
- OCIO Additional funding request(s) –
- Current vacancies in pipeline for hire = ?, additional requests

- OCFO request to hire Accounting Director
- Projected target was 340 average FTEs. Employees on board **PP 16, August 19<sup>th</sup>**,  
**= 320**
- Year end closing

1 FY 18 OMB Budget – \$71,250,000– CR expected to begin the FY to December – Duane

2 FY 19 OMB request is \$71.63 million, **\$381K** increase, **.53%** from FY 18– due 9/11/17

**Draft sent yesterday, Final document due to Commission Secretary by COB Friday.**

3 Next Finance Committee meeting – ?



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

**Finance Committee Meeting**  
**4<sup>th</sup> Floor Conference Room**  
**January 30<sup>th</sup>, 2018, 2 PM**

- FY 18- Draft High level Management Plan - Personnel 68% = \$48,450,000 – Non Personnel 32% = \$22,800,000. FY 17 actual - Personnel 67% = \$47,501,000 – Non Personnel 33% = \$23,153,000. 322 staff on board 9/30/17
- Employees on board **PP 26, January 6<sup>th</sup>, = 316**
- FY 2019 Congressional Budget Justification due February 12<sup>th</sup>. Anticipate circulating to Commissioners on February 1st.
- Potential Awards for FY 2018 – Not presently funded
- Move info - Ed Holder

I. Next Finance Committee meeting – February ?



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

**Finance Committee Meeting**  
**Conference Room – 1097C or Hearing room**  
**April 24<sup>th</sup>, 2018, 2 PM**

- FY 18- Draft Management Plan needs approval – attached. **Personnel = 68.5%, Non Personnel = 31.5%**. Attrition after PP8, April 28th not identified. Tenant Improvement credit from 999 E Street, approximately \$400,000 not included.
- Importance of timely approval of the Management Plan – Duane Pugh
- Legislative outlook – Duane Pugh
- FTEs end of PP 3, Feb 17, = 315, Employees on board end of PP 7, April 14th, = 313
- Potential Performance Awards for FY 2018 – Same guidance as previous year – presently not approved – approximately \$525,000 in Management Plan.
- OCIO – funding requests
  - Lotus Notes Application Conversion = \$350,000
- Personnel actions – TBD Rebecca
- Audit kickoff meeting, April 17<sup>th</sup> –FYI
- Move information - Ed Holder
- Next Finance Committee Meeting May ?



**FEDERAL ELECTION COMMISSION**  
Washington, DC 20463

**Finance Committee Meeting**  
**Twelfth Floor Conference Room**  
**April 2nd, 2019, 2:00 P. M.**  
**Agenda**

- I. Discussion Regarding the FY 2019 Draft Management Plan
  - a. Draft provides \$71.25 million (71% in personnel & 29% in non-personnel obligations)
  - b. Summary version due to Congress on April 16<sup>th</sup>
  - c. Update spending projections at the end of June, which may identify additional funds
- II. Discussion of New Reporting Requirements
  - a. DATA Act Requirements
  - b. Intergovernmental Reconciliations
- III. Congressional Update
- IV. FY 2021 Budget Development Update
- V. Audit Update
- VI. FTE and Hiring Update
- VII. Discuss Next Finance Committee meeting

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**Kevin Deeley/FEC/US**

02/08/2012 09:47 AM

To Commissioners Office, Duane Pugh/FEC/US@FEC,  
Gregory Scott/FEC/US@FEC, Deborah  
Chacona/FEC/US@FEC  
cc Anthony Herman/FEC/US@FEC, Christopher  
Hughey/FEC/US@FEC, Robert Knop/FEC/US@FEC,  
Amy Rothstein/FEC/US@FEC  
Subject Regs Committee

The Regs Committee will meet tomorrow starting at 10 am (and ending no later than 11) in the 4th floor conference room. Rulemaking prioritization for this year is on the agenda. A preliminary list is attached to help facilitate that conversation.

*(See attached file: 2012 Rulemaking Priorities.doc)*

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**From:** Kevin Deeley [mailto:kdeeley@fec.gov]

**Sent:** Tuesday, April 17, 2012 1:54 PM

**To:** Commissioners Office <commissionersoffice@fec.gov>; Duane Pugh <dpugh@fec.gov>; Gregory Scott <gscott@fec.gov>; Deborah Chacona <dchacona@fec.gov>

**Cc:** Amy Rothstein <arothstein@fec.gov>; Anthony Herman/FEC/US; Esther D Heiden <egyory@fec.gov>; Joanna Waldstreicher <jwaldstreicher@fec.gov>; Robert Knop <rknop@fec.gov>; Theodore Lutz <tlutz@fec.gov>

**Subject:** Regs Committee meeting

The Regs Committee will meet tomorrow starting at 10:30 am in the 4th floor conference room.

The tentative plan is:

- 10:30 - 11:15 Corporate/labor IE/EC rulemaking (questions document circulated earlier is below)
- 11:15 Finish setting rulemaking priorities, begun at last meeting.
- Time permitting, return to the corporate/labor IE/EC rulemaking



**From:** [Adav Noti](#)  
**To:** [Regulations Committee](#)  
**Subject:** Regulations Committee meeting  
**Date:** Wednesday, January 22, 2014 1:17:00 PM  
**Attachments:** [Technological Modernization NPRM Outline.docx](#)  
[CFR Corrections -- Revised Draft Final Rules \(01-22-14\).docx](#)  
[REG 2013-03 \(Senate IE reporting\) Draft NPRM \(01-13-14\).docx](#)  
[CFR Corrections -- Unused Suggestions \(01-22-14\).docx](#)

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Vice Chair Ravel and Commissioner Hunter, please find below a list of items that we understand the Regulations Committee would like to discuss at tomorrow's meeting. Also attached are the corresponding documents, except for one that we hope to circulate this evening. If there are any other items that you would like us to be prepared to discuss at the meeting, please let us know. Thank you.

- (1) Technological modernization: NPRM outline (circulated 12/6/13)  
*(See attached file: Technological Modernization NPRM Outline.docx)*
- (2) Administrative fines expansion: Initial discussion (document to be circulated)
- (3) Place of filing Senate IE reports: Draft NPRM (circulated 1/13/14)  
*(See attached file: REG 2013-03 (Senate IE reporting) Draft NPRM (01-13-14).docx)*
- (4) Corrections to 11 C.F.R.:
  - (a) Draft final rule (revised version below includes additional staff suggestions received after original circulation on 1/13/14)  
*(See attached file: CFR Corrections -- Revised Draft Final Rules (01-22-14).docx)*
  - (b) Staff suggestions not included in draft final rule (new document)  
*(See attached file: CFR Corrections -- Unused Suggestions (01-22-14).docx)*

Adav Noti  
Acting Associate General Counsel  
Federal Election Commission  
999 E Street NW  
Washington, DC 20463  
(202) 694-1384 | [anoti@fec.gov](mailto:anoti@fec.gov)

**From:** [Laura Basford/FEC/US](#)  
**To:** [Regulations Committee](#)  
**Cc:** [Troy McCurry/FEC/US](#)  
**Subject:** Current Agenda - Regulations Committee Meeting  
**Date:** Tuesday, March 04, 2014 3:09:00 PM

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All,

Below is our working agenda for the regulations committee meeting, set for tomorrow at 2 PM:

1. Admin Fines Expansion - **Privileged & Confidential**

2. Nationwide IEs - discussion about how to prepare an NPRM on this issue; any thoughts from OGC about definition of "nationwide IEs" I sent last week: "Nationwide independent expenditures" are independent expenditures, as defined in 2 USC 431(17) and 11 CFR 100.16, which are made in support of or in opposition to a Presidential primary candidate and which will be publicly disseminated in a manner that does not limit the recipients of the communication by state, region or geographical location, and does not target any state, region or geographical location."

Please let Troy and I know if you have any questions or comments.

Thanks,

Laura

Laura Basford  
Counsel, Office of Vice Chair Ann Ravel  
(202) 694-1022 | [lbasford@fec.gov](mailto:lbasford@fec.gov)

**From:** [Alex Tausanovitch/FEC/US](#)  
**To:** [Regulations Committee](#)  
**Subject:** Regulations Committee Agenda for Wednesday  
**Date:** Monday, June 22, 2015 6:00:00 PM

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Below is the agenda for the Regulations Committee meeting on Wednesday, June 24, at 2:30 PM. We will be meeting in the 4th floor conference room.

Agenda for June Regs Committee Meeting

1. Admin Fines/Forms Petition
2. Public Contractor Petition
3. Draft NPRM on Senate IE Reporting
4. NPRM Outline on Party Committee Segregated Accounts
5. Proposed Notice to Respondents of Information Sharing by the Commission
6. Proposed Statement of Policy Regarding the Public Disclosure of Closed Enforcement Files
7. Technological Modernization rulemaking
8. Third Party Appearances to Discuss Advisory Opinions
9. Draft Notice of Disposition on Candidate Debates

**From:** [Tom Moore](#)  
**To:** [Regulations Committee](#); [Weintraub Office](#); [Petersen Office](#); [Litigation Staff](#)  
**Subject:** April 1, 2 pm, Regulations Committee meeting  
**Date:** Thursday, March 28, 2019 10:47:04 AM

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The Chair calls a meeting of the Regulations Committee for 2 p.m. on Monday, April 1, in the 12th floor conference room. On the agenda:

- Internet advertising disclaimers rulemaking
- Scam PAC effort
- a rundown on all other pending Policy items

**Tom Moore**

Counsel to Chair Ellen L. Weintraub  
Federal Election Commission

1050 First Street N.E., Washington, DC 20463  
202.694.1033 | [tmoore@fec.gov](mailto:tmoore@fec.gov)